
Child Care Policy Research Brief

**Tribal Child Care and
Development Fund
Grantees: Market Rate
Surveys and Other Child
Care Practices and
Policies**

*Roberta B. Weber
Deana Grobe*

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Introduction

As part of its efforts to use a market approach in its child care investments, the federal government introduced the idea of child care price studies known as market rate surveys in 1988. In 1990 they began a major investment in child care with passage of the Child Care and Development Block Grant which became part of the Child Care Development Fund (CCDF) in 1996. In a 1998 rule governing CCDF, the Department of Health and Human Services directed that states and territories provide evidence that parents have access to the child care market through a market rate survey no more than two years old at the time biennial CCDF Plans are submitted. Tribes were given more flexibility. “A tribal Lead Agency may base its payment rates on the State’s market rate survey rather than conducting its own survey if their service area is included in the state’s survey” (Department of Health and Human Services, 1998, p. 39977). The rule does state that “we strongly encourage tribal CCDF grantees to survey their local providers in order to establish a payment rate which is an accurate reflection of the child care market on their reservation or tribal service area” (ibid., p. 39977).

Guidance for Validating Child Care Market Rate Surveys research project

In 2004 the Child Care Bureau funded a research team from Oregon State University, the University of Minnesota, and Child Care and Early Education *Research Connections* at the National Center for Children in Poverty to provide information on market rate studies of states, territories, and tribes. The research team proposed a study of tribal child care because little policy research has focused on it, although the majority of the over 500 federally recognized tribes receive Child Care and Development Fund grants from the Child Care Bureau, U. S. Department of Health and Human Services. Many small tribes receive funding not as direct CCDF grantees, but as part of a Consortium. The study, *Guidance for Validating Child Care Market Rate Surveys* includes three distinct studies, the first of which includes a study of tribal child care:

- *Study 1:* Describe key elements of market rate survey methods, policies, and practices in order to capture current practice of states, tribes and territories, and to refine the proposed research design for validating market rate survey findings. Findings from that study are reported in *Child Care Market Rate Survey Practices of States, Territories, and Tribes* (2007). Study 1 also includes a survey of child care practices of tribes that received CCDF funds in 2004.
- *Study 2:* Evaluate the effect of various samples and methods on the validity, market representation, and cost effectiveness in producing child care market rate findings.
- *Study 3:* Explore the effects of subsidies on child care prices in different policy environments.

The purpose of the first study was to describe child care practices of the states, territories, and tribes. In terms of the tribes, we conducted two surveys. With the first survey we determined if the tribe conducted its own market rate survey. All tribes that received a CCDF grant in 2004 were surveyed. The second survey focused on the 28 tribes that used findings from their own market rate survey in their 2003 CCDF plan. In this brief we describe findings from both surveys. First, we describe basic child care practices of all tribal CCDF grantees. Following that, we describe the survey practices and policies of the 28 tribes that used findings of their own market rate survey.

Terms used in this paper

Throughout this report, the term *prices*¹ is used to describe fees set by child care facilities and *rate* is used to describe the subsidy rate or maximum rate that subsidy agencies will pay a child care facility providing care for an eligible child. The maximum subsidy payment rate establishes a ceiling; lead agencies pay the facility's "usual" charge up to the ceiling established by the maximum rate. The usual charge is the fee per child paid by families who do not receive a subsidy.²

The Research Project Advisory Committee approved the following definition of the child care market. *A market is the collection of buyers and sellers that, through their potential interactions, determine the price of a product or set of products.* The term *R&R* refers to child care resource and referral agencies, the local organizations which create databases of child care facilities in communities across the United States. The term *child care administrator* refers to the head of the lead child care agency in a state, territory, or tribe (also referred to as *jurisdiction* throughout the report). The tribe or governor of each state or territory has designated the lead child care agency as responsible for administration of the CCDF allocations to the jurisdiction. The child care administrator represents the jurisdiction on issues related to CCDF. The term *facility* is used to describe a center or home in which care and education services are provided to children.

Terminology

Market – the collection of buyers and sellers that, through their potential interactions, determine the price of a product or set of products.

Prices – provider fees set in the open market by child care facilities

Rate – subsidy rate or rate of payment established by state child care agencies for reimbursing child care facilities.

R&R – Child Care Resource and Referral agencies

Child care administrator – head of the lead child care agency in a state, territory, or tribe.

Jurisdiction – a governmental unit with whom the Child Care Bureau partners; i.e., a state, territory, or tribe.

Facility – a child care center or home used to provide care and education services.

Survey of Tribal CCDF Grantees

Objectives of study

The primary objective of the survey of tribal CCDF grantees was to identify those tribes that conducted their own market rate survey. In order to understand the context in which the tribe decided whether or not to conduct their own market rate survey, we asked questions about the tribe, its size, and how it managed a broad array of child care issues.

¹ It should be noted that federal rule requires a survey of the *prices* charged for child care, not a survey of the *costs* of child care. The cost of child care is typically greater than the price charged because providers supplement costs through grants, donations and low wages and benefits (Cost, Quality, and Child Outcomes Study Team, 1995).

² The preamble to the CCDF regulations (63 FR 39959) provides: "In setting or adjusting rates, we remind Lead Agencies of the general principle that Federal subsidy funds can not pay more for services than is charged to the general public for the same service."

Data and methods

Study populations

Indian tribes that have been federally recognized by the U.S. Congress operate as sovereign nations. Although there are over 500 federally recognized tribes, many are very small and join together into a consortium when applying for CCDF funds. For the first tribal survey, the population surveyed was the 268 tribes or tribal consortia with CCDF grants in 2004. A list of tribal grantees was obtained from the Tribal Child Care Technical Assistance Center (TriTAC).

Instrumentation and data collection

With assistance from TriTAC, the research team created a short telephone survey. TriTAC staff Linda Kills Crow and Nina Stanton reviewed the survey instrument. Ginny Gipp, Tribal Child Care Specialist, Child Care Bureau, also reviewed the instrument. The research team field tested the survey with CCDF administrators in five tribes: Aleutian Pribilof Islands Association in Alaska, Hopi Tribe in Arizona, Bay Mills Indian Community in Michigan, Blackfeet Tribe in Montana, and Muskogee Creek Nation in Oklahoma.

The research team divided tribes into geographical regions. Shortly before interviews began in each region, the team mailed a letter to tribal CCDF administrators in that region explaining the study and introducing the Research Assistant who would be calling them. Up to five calls were made to each Administrator. If the research team was unable to reach the Administrator, then a hard copy of the survey was mailed with a paid, self-addressed envelope included. The mail version of the survey is included as Appendix A.

Data collection began in January 2005 and ended in October of that year. Of the 268 tribes we contacted, 6 were no longer CCDF grantees at the time of the call and one was no longer a federally recognized tribe. Two tribes chose not to take the survey. We were unable to reach 20 tribes. Data was collected from 239 tribes for a response rate of 92%. Not all responding tribes answered the key question, what market rate survey the tribe used in the 2003 CCDF plan. Of the 239 surveyed tribes, 196 reported using either a tribal or state market rate survey. Others did not know what the tribe used or reported that the tribe used information sources other than market rate survey findings in the 2003 CCDF plan. If the team had any questions about the responses to the question regarding what market rate survey findings were used in the 2003 CCDF plan, a team member called the tribe again to clarify.

The Child Care Bureau provided summary data on aggregate numbers of children served and type of care used based on tribal annual reports of those funded with tribal CCDF dollars for federal fiscal year 2005.

Description of survey respondents

Almost all survey respondents were tribal administrators. About two-thirds of survey respondents worked in a tribal child care program. Another quarter of respondents were administrators in social services or other tribal programs. About 8% of respondents were program assistants. For all respondents, experience in their position ranged from less than a year to 27 years. Thirteen percent had less than a year's experience in their position and half had 4 years or less.

Findings

Tribal CCDF procedures and requirements

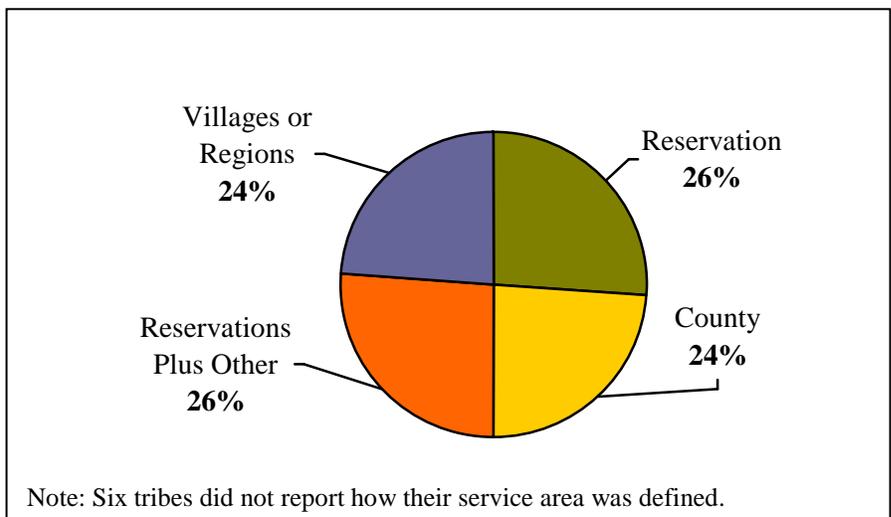
In light of unique tribal circumstances, some CCDF procedures and requirements for tribes differ from those of states. According to the 1998 CCDF Final Rule, Tribal Lead Agencies may use up to 15% of expended funds for administrative costs (p. 39976). Furthermore, with ACF approval a Tribal Lead Agency may use amounts from its CCDF allocation for construction and major renovation of child care facilities (p. 399978). Recognizing that a number of small tribal CCDF grantees do not have the infrastructure to support certain CCDF requirements, the Department of Health and Human Services established a fiscal criterion to “exempt” small tribes from specified CCDF requirements. Tribal Lead Agencies receiving CCDF grants of less than \$500,000 (211 grantees in FY07) are considered *exempt grantees*; those with allocations equal to or greater than \$500,000 are considered *non-exempt grantees* (49 grantees in FY07). Exempt tribes may choose to spend all of their CCDF funds on direct services provided through tribal child care centers, contracts with providers, a certificate/voucher program, or on a combination of these services. Exempt tribes do not have to offer certificates/vouchers for all four categories of care (e.g., an exempt grantee does not have to offer in-home care). Non-exempt tribes are required to operate a certificate program and to spend a minimum of 4% of their CCDF dollars on quality activities. Non-exempt Tribal Lead Agencies may choose to limit in-home care.³

Description of tribal CCDF grantees

Almost two-thirds (66%, 156) of the tribes with CCDF grants had their own reservation. All tribes must define a child care service area in their CCDF plans; a child care service area is the geographic area in which they plan to deliver CCDF services. Most tribes in Alaska, California, and Oklahoma do not live on reservations. Many tribes with reservations include communities located both on and near the reservation in their service area.

The child care service area was a reservation for about a quarter of the tribes (26%, 60) (Figure 1). For the remainder of the tribes, the child care service area was defined by the boundaries of a county or counties (24%, 55), a combination of areas such as a reservation plus border towns (26%, 61), or other geographic units such as school district(s), villages or regions (24%, 57).

Figure 1. Tribal Child Care Service Areas, N=233



³ For a more complete discussion of state and tribal CCDF differences, see Department of Human Services, Administration for Children and Families, Child Care Bureau publication, *Tribes and states working together*.

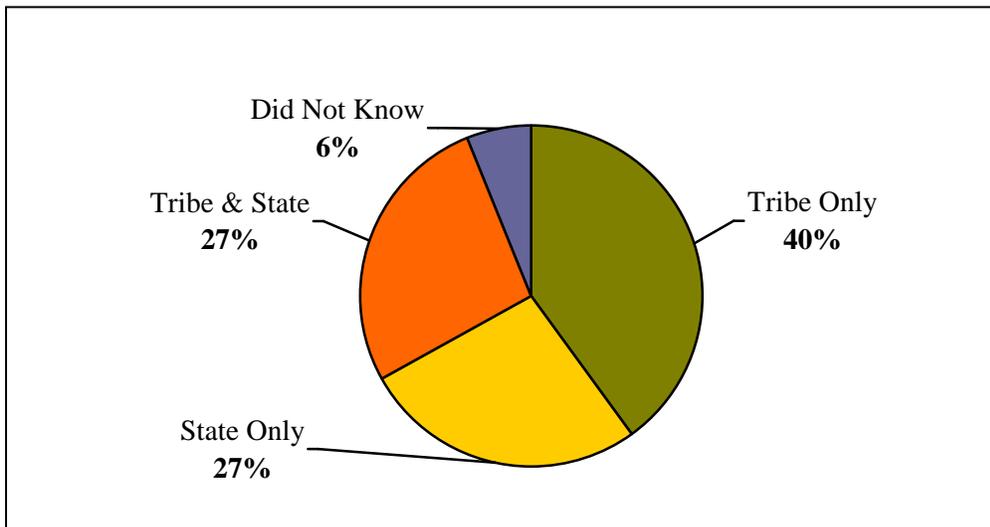
Populations of tribes ranged from 47 to 300,000; half of the surveyed tribes had populations of 2,032 or less and child care service area populations of 2,000 or less. The number of children under age 13 in tribal service areas averaged 2,371; half of tribes had 773 or fewer children under 13 in their service area.

Description of tribal child care

In federal fiscal year 2005, 22,677 children under age 13 received child care funded with tribal CCDF dollars.⁴ Over half (57%) of these children were in center care and over a third (37%) were in family child care. Six percent of children received care in their own home. Children in centers were almost always in regulated care; 90% of those in centers were in regulated centers. Children in family child care and in-home care were less likely to be in regulated care; 39% of those in family child care and 9% of those cared for in their own home.

Regulation of child care facilities on reservations or in tribal child care service areas was carried out by the tribe, the state in which the tribe was located, or both (Figure 2). Over a third of tribes (40%, 93) regulated facilities who served tribal children while in over a quarter (27%, 65) the state regulated facilities, and in another quarter (27%, 64) both the state and tribe regulated facilities. In the remaining tribes (6%, 15), the respondent could not describe the regulation policy.

Figure 2. Regulation of Tribal Child Care Facilities, N=237



Note: Two tribes did not report on the regulation of child care providers.

Tribes were more likely to be Head Start grantees than to operate tribal child care centers. Less than half of tribes (42%, 98) operated a child care center for tribal children. Sixty percent of tribes (140) were Head Start grantees. Tribes were less likely to have Early Head Start programs, but if they did operate

⁴ Number of children served is based on data reported from 190 of the over 250 tribal grantees in federal fiscal year 2005. More Indian children may have received CCDF-funded child care in 2005 as legislation mandates that “eligibility of Indian children for a tribal program does not affect their eligibility for a State program.” (Department of Health and Human Services, Administration for Children and Families, 1998, p. 399975)

Early Head Start, they were likely to have a Head Start grant. About a quarter of tribes (26%, 60) had an Early Head Start program and all but three of those with Early Head Start programs also operated a Head Start program. Two of those three did have a tribal child care center.

It was not common for tribes to operate both a tribal center and Head Start; less than a third of tribes (31%, 73) operated both (Table 1). Twenty-eight percent (67) had Head Start but no tribal center. Another 11% (25) had a tribal child care center but no Head Start program. Thirty percent (70) had neither a tribal child care nor a Head Start center.

Table 1. Availability of Tribal Child Care Centers and Head Start, N=239

	Number of Tribes	Percent of Tribes
Tribal Child Care Center only	25	11%
Head Start only	67	28%
Both Tribal Child Care Center and Head Start	73	31%
Neither Tribal Child Care Center nor Head Start	70	30%

Tribal size was associated with operating child care programs. The number of children under age 13 was significantly associated with having a Head Start center ($p \leq .001$) and also with having a tribal child care center ($p \leq .01$). The size of the tribe’s whole population was also associated with having tribal child care centers and Head Start.

In addition to size factors, having their own reservation was associated with tribal child care practices. Over three-quarters of the 98 tribes (78%, 76) that operated a child care center had their own reservation. Whether or not the tribe had its own reservation was also associated with having a Head Start program. Tribes with reservations were more likely to have both tribal child care centers and Head Start (Table 2). Having a reservation was significantly associated with operating a tribal child care center ($p \leq .001$) and Head Start program ($p \leq .001$).

Table 2. Availability of Tribal Child Care Centers and Head Start by Reservation Status, N=238

	Have a Reservation		Do Not Have a Reservation	
	Number of Tribes	Percent of Tribes	Number of Tribes	Percent of Tribes
Tribal Child Care Center only	16	7%	9	4%
Head Start only	48	20%	20	8%
Both Tribal Child Care Center and Head Start	60	25%	13	5%
Neither Tribal Child Care Center nor Head Start	32	14%	40	17%
Total	156	66%	82	34%

Note: One tribe did not report whether or not it had a reservation.

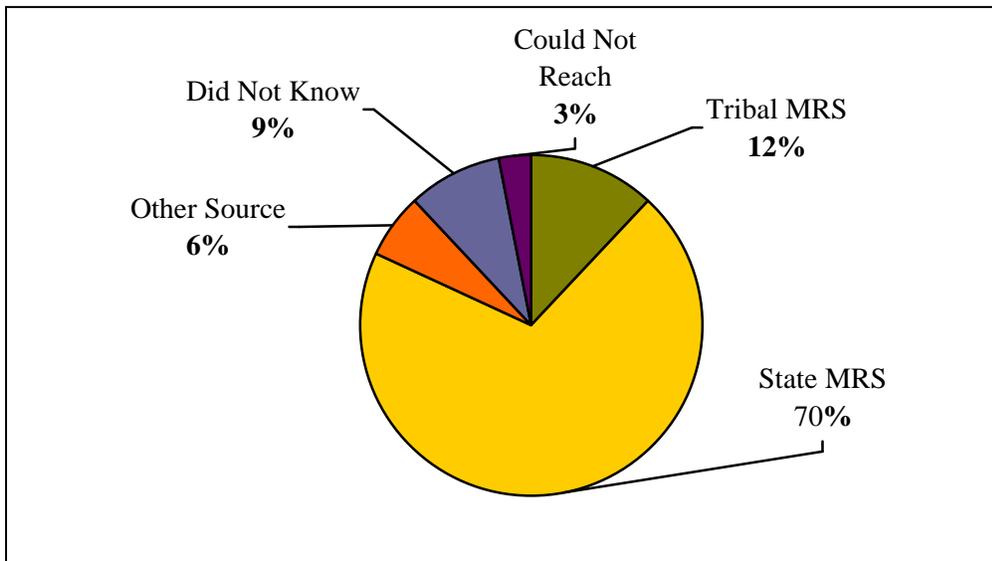
Since this was a survey of CCDF grantees, all used CCDF to serve children. **The number of children served with CCDF funds varied widely, ranging from 2 to 4,000 children. Tribes served an average of 111 children with CCDF funds and half served 35 or fewer children.** The number of children served with CCDF funds was highly correlated (.87) with the number of children under 13. As the number of children in the tribe increased, so too did the number of children who received CCDF services.

Market rate survey practices of tribes

As noted in the introduction, federal CCDF rule requires tribes, along with states and territories, to demonstrate that families have access to child care in their community and that access be demonstrated by reference to a child care market rate survey no more than two years old at the time of submission of the CCDF biennial plan. Tribes, though, have the option of doing their own market rate survey or of using the findings from the state survey.

A minority of tribes did their own market rate survey. Although forty percent of tribes (40%, 95) had done at least one market rate survey since 1988, only 12% (28) reported using a tribal market rate survey as part of their 2003 CCDF plan (Figure 3). For the 2003 CCDF Plan, 70% of tribes (168) used the findings of the market rate survey done by the state in which they were located. We were unable to determine what data was used in the CCDF plans of the remaining tribes (18%, 42); some reported using other sources (6%, 14), others did not know (9%, 22), and we could not reach a small group of tribes (3%, 6) when calling to clarify information.

Figure 3. Market Rate Survey Used in 2003 CCDF Plan, N=238



Note: One tribe did not report what market rate survey was used as a part of their 2003 CCDF plan.

The research team hypothesized that size or some other tribal characteristic would distinguish tribes that did their own surveys from those that used the findings of the state market rate survey. Further, we hypothesized that larger tribes would be more likely to conduct their own survey. We looked at associations between conducting a tribal market rate survey and the following characteristics: tribal population, number of children under age 13, having a reservation, regulating tribal facilities, having a tribal child care center, and having a Head Start center. **Only two characteristics appeared to be**

significantly associated with conducting a tribal market rate survey: having a reservation ($p<.01$) and having a tribal child care center ($p<.05$). Twenty-six of the 28 tribes that conducted their own survey had a reservation. And as noted above, tribes with reservations were more likely to operate tribal child care centers (18 of the 28 had a tribal child care center). ***Tribal size did not predict conducting a survey.***

A major issue with which tribes must struggle is whether or not the prices identified in the state market rate survey represent those of tribal facilities. One consideration is whether or not tribal facilities were included in the state’s market rate survey. About half of tribes (55%, 106) reported that tribal facilities were included. Almost a quarter reported that they were not included (21%, 41) and around a quarter of tribes (24%, 46) did not know if tribal facilities were included in the state’s market rate survey.

The percentage of tribes that used the state’s market rate survey was about the same whether or not the tribe knew that the state included tribal providers in its market rate survey (Table 3). Of the tribes that knew that the state included tribal facilities, 87% (93 of 106) used the state’s market rate survey findings. Among the group that knew that the state did not include tribal facilities almost the same percentage, 85% (35 of 41) used the state market rate survey findings. Finally, among the group that did not know whether or not tribal providers were included, 80% (37 of 46) used state market rate survey findings. It does not appear that inclusion of tribal providers affected the decision of whether to use the state’s market rate survey findings.

Table 3. Inclusion of Tribal Providers in State Market Rate Survey (MRS) by Which Market Rate Survey Findings Were Used in Tribal CCDF Plan, N=193

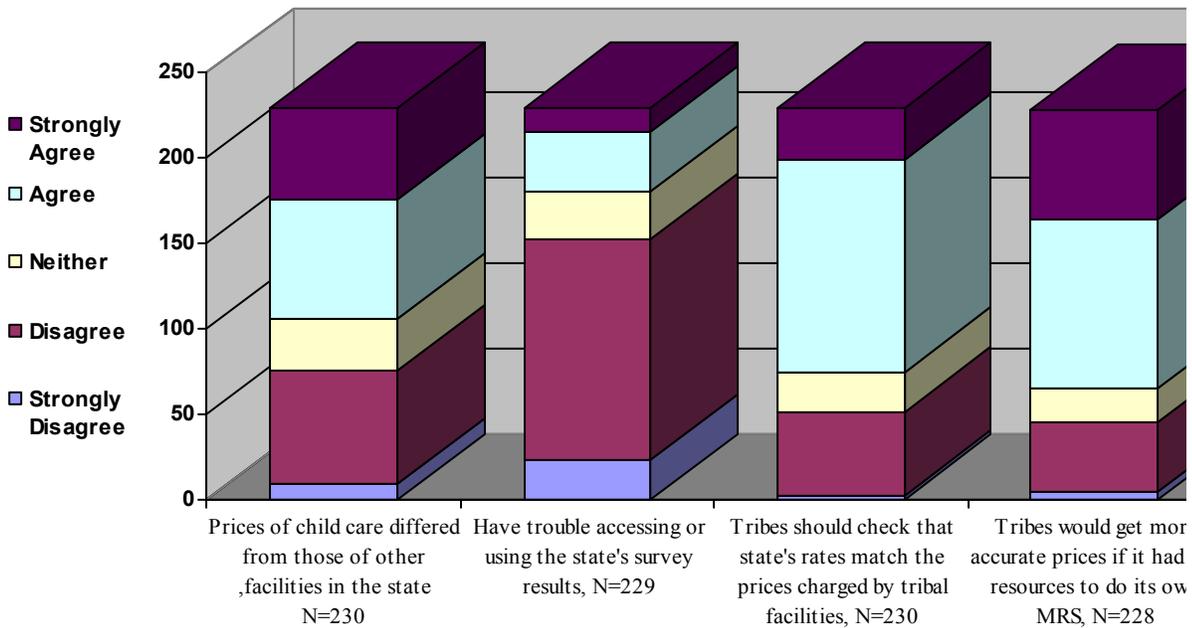
	Used State MRS		Total of Tribes with CCDF grants	
	Number	%	Number	%
State included tribal providers	93	87%	106	55%
State did not include tribal providers	35	85%	41	21%
Tribe did not know whether or not state included tribal providers	37	80%	46	24%
Total	165	85%	193	100%

Note: Forty-two tribes either used something other than market rate findings or did not know what was used in their 2003 CCDF plan. Three additional tribes used state market rate survey findings in their 2003 CCDF Plan but they did not answer the question about inclusion of tribal providers in the state survey. One tribe did not respond.

Whether tribal facilities were included in the survey or not, an issue was whether or not the prices identified in a state market rate survey matched the prices charged by tribal facilities. We asked tribes if they checked to determine if prices identified in the state’s market rate survey were close to those of tribal facilities. ***About three-quarters of tribes that used the state’s findings compared state survey findings to tribal prices (75%, 120).*** Tribes reported a number of strategies for comparing prices identified through a state market rate survey with prices known to the tribe. These included calling facilities to collect price data and comparing responses with state findings.

To better understand the choices tribes made about whether to use the state market rate survey findings or to conduct their own market rate survey, we asked tribal child care administrators the extent to which they agreed or disagreed with four statements about tribal market rate survey practices (Figure 4). ***A slight majority (54%, 124) of tribes agreed or strongly agreed that child care prices of facilities that served tribal children and families differed from those of facilities in the state that did not serve them. Two-thirds of tribes (67%, 153) disagreed or strongly disagreed with the statement that they have trouble accessing or using state’s survey results. With a similar majority (68%, 156) tribes agreed or strongly agreed that tribes should check that the prices identified by the state market rate survey match the prices charged by tribal facilities. Almost three-quarters of tribes (71%, 163) agreed or strongly agreed that the tribe would get more accurate price findings if the tribe had the resources to do its own market rate survey.***

Figure 4. Tribal Perceptions of Market Rate Survey Practices



The purpose of the first survey of tribal child care practices was to collect background information on the tribes and whether or not they conducted market rate surveys. A minority of tribes (12%, 28) used findings from their own market rate survey in their 2003 CCDF Plan. In the following section we report on the market rate survey practices and policies of the 28 tribes that did use findings from a tribal market rate survey in their 2003 CCDF Plan.

Survey of 28 Tribes That Conducted Their Own Market Rate Survey

After completing the survey of all tribal CCDF grantees, the research team focused on the tribes that indicated they completed their own market rate survey.

Objectives of study

The primary objective of the second survey was to describe current methods, practices, and policies in the 28 tribes that conducted their own market rate survey. This brief includes sections on data and methods, findings, and conclusions.

Data and methods

Study population

The 28 tribes that conducted their own market rate survey were the population of interest in the second study. The person with the most knowledge of the tribe's market rate survey was identified in the first study and contact information was collected.

Instrumentation and data collection

The research team created an instrument to collect information on market rate survey practices and policies from tribes, states, and territories (see Appendix B). The Research Project Advisory Committee refined and prioritized the set of constructs and variables used to build the survey instrument. Variables were organized under six specific functions representing the market rate survey process. These were: (1) administration/organization of the market rate survey; (2) facility population and sample; (3) data collection, (4) data analysis; (5) dissemination of the results; and (6) rate setting policy. The Research Project Advisory Committee reviewed an early draft of the instrument. Seven of the 23 advisory committee members provided comments on the draft survey instrument. In addition, five states (Arizona, Maine, Minnesota, Oregon, and Washington) field-tested the survey instrument and provided feedback on the way questions were worded and on the process. The team assumed the same instrument could be used for states, territories, and tribes that conducted their own market rate survey.

Seven different web-based surveys were created; one survey was created for each of the six specific functions in the market rate survey process and one complete survey addressed all six functions. The commercial product *Survey Monkey* was used to administer the web survey. Each key informant was given a unique personal identification number (PIN) to access the web survey; this process allowed us to track completion of each survey by the appropriate key informant. For tribes, the key informants had been identified in the survey of all tribal CCDF grantees.

Of the 28 tribes who conducted their own market rate survey, five completed the survey on line during the summer of 2005. The low response rate led the research team to question whether or not the instrument worked for tribes. The team hypothesized that the survey was not relevant to the tribes who did not

respond because of the typically small numbers of child care facilities in tribal service areas. Therefore, in the winter of 2006 we called each of these tribes (23 in total) and collected qualitative data on how they conducted their market rate survey.

As a final step in the data collection process, we created jurisdiction profiles based on the data collected through the second study. Each tribe was given its profile and asked to review it for accuracy. The purpose of this review was to increase accuracy in subsequent reporting. The profiles were mailed to the 28 tribes in the summer of 2006. Four of the 28 tribes reviewed and returned their profile. Tribal profiles are included as Appendix C.

Description of survey respondents

The person who completed the second survey was often the same person who had completed the initial survey. The vast majority of respondents were child care administrators (86%), two were administrators in social service programs, one was an assistant, and one did not respond.

Findings

We report tribal findings in two sections: (1) market rate survey practices and policies; and (2) validity issues. In the first we describe the administrative practices and policies related to market rate surveys. In the second we describe the actual survey practices within a framework of the major issues surrounding the validity of market rate survey methods.

Market rate survey practices of tribes that conducted a survey

Organizations that carried out market rate survey. Of the 27 tribes that described who carried responsibility for conducting market rate surveys, the CCDF lead agency carried responsibility for conducting the survey in each case. One also involved another tribal organization and three worked with a child care resource and referral agency (R&R).

Data sources and data collection. Tribes used a variety of data sources. Over half of tribes (14) used a list of facilities known to the tribe; in one case the tribal list was combined with R&R data and in three other cases, tribes combined the list with facilities identified in the phone book. Three tribes used only the phone book as their data source. The remaining 10 tribes used licensing, R&R, or subsidy data alone or in combination. One tribe did not report its data sources.

Tribal expenditures on market rate surveys. The majority of tribes (23) reported that the costs of doing their market rate survey were not known because tribal staff carried out the survey as a part of their job. One tribe reported spending \$58,904. The remaining four tribes reported spending from \$28 to \$1,414.

Rate setting. Only three of the 28 tribes set their maximum payment rates at or above the 75th percentile of prices identified in their market rate survey. Of the 23 tribes that reported on factors that affected rate setting, nine reported that survey findings were a factor. Other factors, listed in order of how many tribes reported them as influencing rate setting were: availability of tribes own funds for child care (6), the overall tribal budget (5), the number of families needing assistance (5), CCDF funding (4), and input from parents, facilities and tribal councils (4). Two tribes reported that where states set their ceilings was a factor.

Validity Issues

The validity of the prices identified through market rate surveys hinges on how a number of issues are addressed. In the following section we explore our findings about tribal survey practices and policies within a framework of the major validity issues: the child care market, child care submarkets, pricing modes, the unit of analysis, and data collection and analysis.

The child care market

One of the major issues in conducting a market rate survey is defining the child care market; identifying which child care facilities make up the child care universe. In the case of small tribes, it was likely that those doing the survey knew all children and facilities. In bigger tribes, they needed a way to determine who provided child care and they needed contact information in order to survey them.

Some tribes had no comprehensive list of facilities. This was the case if the tribe did not license facilities, the state could not separate out the facilities that served tribal children, or the R&R did not have a comprehensive list of facilities that served tribal children. Of the 28 tribes who conducted their own market rate survey, almost three-quarters (20) did license facilities and thus had a list of facilities regulated by the tribe. Five of the 28 tribes that conducted market rate surveys used the R&R as a data source but the R&R may not have had a comprehensive list. In tribes with small numbers of children, tribes may have had contact information on all facilities in which care was provided without a list. Over half of the tribes that conducted their own survey reported using a list of facilities known to the tribe. However for the tribes that generated the list of facilities to survey, the extent to which these lists included all facilities providing care affected how well the survey findings represented prices charged by child care facilities that served tribal children.

Facilities included. Nineteen tribes surveyed centers and family child care homes; two also included family, friends, and neighbor caregivers, those who were legally operating and exempt from child care licensing. Eight surveyed only centers, although some of these had surveyed family child care facilities in other years. One tribe surveyed only family child care facilities. Of the 28 tribes that did market rate surveys, the number of surveyed facilities ranged from 2 to 150 with an average of 39.

Price issues. Not all child care facilities had a child care price. Head Start and some family, friends, and neighbors did not charge parents and therefore did not have a price which could be included in a market rate survey. In other facilities, the majority of children who received care were from families participating in the subsidy program. The prices charged by those facilities may have been highly influenced by where the tribe set its maximum payment rates. Tribes did not report excluding programs that either had no price or whose prices may have been heavily influenced by where the tribe set maximum subsidy payment rates.

Child care submarkets and geographical definition of community

Child care is not a single market but rather a set of distinct submarkets that operate differently. Age of child is one characteristic that defines a submarket. Type of care is another. Parents who are seeking infant care in a center are likely to encounter higher prices than parents seeking care for an older child or in a different type of care. Facility schedule is another characteristic that defines a submarket; full-day, full week care typically has a higher price than part-day care. Sixteen tribes differentiated the child care

market by age group and 13 differentiated by type of care. Tribes often dealt with differences in schedules; 17 tribes differentiated full-day and part-day rates. Geography affects prices with higher prices in some communities than in others. Some tribes may reside in a single community whereas other tribes may live in multiple communities with different housing prices and household incomes. No tribe reported differentiating maximum payment rates by community.

Pricing modes

Most child care facilities charge by the hour, day, week, or month. Most facilities price in a single mode, but some offer parents multiple modes, e.g., one price per hour and another price per month. Differences in pricing modes are a challenge for those doing market rate surveys. There may not be a linear relationship between different price modes. It is likely that a facility does not set a monthly rate at 172 times the hourly rate for a variety of business related reasons, such as encouraging monthly enrollment. In addition, it is likely that the way facilities charge actually creates submarkets. Prices charged by the hour may be impossible to validly convert into monthly prices using a formula based on how we think hourly and monthly prices are related. The way a facility charges may indicate other characteristics that distinguish one facility from another. For example, a family child care home that has only a monthly fee is likely to differ from the family child care home that has only an hourly fee on a number of other characteristics, such as the extent that being a professional and business owner describes his or her work. One response to different pricing modes has been to convert actual facility prices to one or a limited number of price modes. The conversion can be done during data collection or during data analysis. Only five tribes reported on whether or not they converted prices to a standard mode, and of that group only one converted the reported prices to a standard price mode.

Unit of analysis

Another validity issue is whether prices should be analyzed by facility or be weighted by the number of child care slots the facility offers. The logic is that parents are looking for a child care slot and some facilities have six while others have over 100. For example, assume that the facility with 100 slots charged \$300 per month and the facility with six slots charged \$600. If one weighted by provider the average would be \$450 but it would be \$317 if weighted by slots. Weighting by number of slots would produce findings that better reflect prices found in the community. Only five tribes reported on weighting, and none weighted by number of slots.

Data collection and analysis

Who collected data and how they collected it varied across the tribes. In all instances, tribal staff collected the data, but the positions of those who collected data varied. Fourteen tribes conducted telephone surveys. Nine used a mail survey.

Response rates. Collecting price data from a high percentage of surveyed facilities increased the likelihood that the survey findings represented the prices in the community. Following up with facilities that did not respond was therefore important. All but two tribes followed up with facilities that did not respond; two followed up with telephone calls and five with visits. Two tribes used in-person visits as their data collection method. One tribe analyzed R&R data and two did not know what method was used.

Conclusions

The United States Congress adopted a market focus when increasing its investment in child care with passage of the 1988 Family Support Act and the 1990 Child Care and Development Block Grant which became part of the Child Care Development Fund (CCDF) in 1996. In a 1998 rule governing CCDF the Department of Health and Human Services directed that states and territories provide evidence that parents have access to the child care market through a market rate survey no more than two years old at the time CCDF Plans are submitted. Federal rule allows tribes to conduct their own market rate survey or to use state market rate survey findings in completing their biennial Child Care and Development Fund Plan.

A small minority of tribes (12%, 28) conducts their own market rate survey; the majority uses the findings from the survey of the state in which they are located. Two tribal characteristics differentiate tribes that conduct their own survey; they are more likely to have their own reservation and to have a tribal child care center. It may be that facilities that care for tribal children are easier to identify and survey when tribal members live on a reservation rather than in villages or communities not part of a reservation. Having a tribal child care center may mean that a tribe has staff available to conduct the market rate survey. Also, having a reservation and operating a tribal child care center are strongly associated characteristics. For the most part, the tribes that conducted child care market rate surveys did so without added cost; existing staff conducted the survey as a part of their duties.

The level of complexity of the child care market likely varies by tribe. Small numbers of children and therefore child care facilities might make a market easier to understand. Yet characteristics that make child care markets complex are present even in relatively small markets.

Even with a relatively small number of child care facilities, it may be difficult to identify the universe of child care facilities and determine which facilities to survey. Tribes surveyed from 2 to 150 facilities but it is not known the extent to which this represents the universe of facilities within each tribal service area or only those facilities of which the tribe was aware. A list of facilities “known to the tribe” was the most common data source for tribal surveys.

For survey findings to be representative, the survey team must be able to identify the universe of child care facilities. Tribes are less likely to have centralized lists of facilities produced by licensing agencies and R&R agencies and the lists they do have are more likely to be incomplete. R&R lists may not include all tribal providers and subsidy lists include only those facilities that provide care for children receiving a subsidy and therefore are not representative of all child care facilities. In addition, prices of facilities on the subsidy list may not be representative of prices in the market, because many of the family, friends, and neighbors on a subsidy list may not have “prices” for full-fee paying parents. The amount these family, friends, and neighbors charge the subsidy program may be heavily influenced by where the tribe sets the maximum rate.

Market rate surveys are to capture child care prices, the amount charged to nonsubsidized families; those families who do not get public assistance to help pay for child care. Some tribes may not have a large number of facilities that have child care prices. Well over half of tribal CCDF grantees are also Head Start grantees and almost half operate tribal child care centers. Head Start does not charge parents and

CCDF tribal child care centers most likely subsidize the costs so that the price parents pay is well below what a facility without public dollars would need to charge. Determining which facilities to include and exclude in a tribe's market rate survey is challenging.

Once issues related to defining and capturing the child care market are addressed, those conducting market rate surveys must deal with submarkets related to age of child, type of care, program hours, and program schedules. Prices vary by age of child and so market rate surveys need to capture prices by age groups. Prices also vary by type of care, so findings will not be representative if the survey does not differentiate center, family child care, and other types of care. Prices also vary by the hours a program operates; part-day and part-week preschools offer a different service than do full-day, full-week programs. Depending on the size and complexity of the tribal child care service area, defining geographic community might be necessary.

Facilities charge by hour, day, week, or month. The relationships between these pricing modes may not be linear, in which case conversions using a formula (e.g., hourly price times 40 equals a weekly price) could introduce error. Tribes that do their own market rate surveys do not appear to be converting child care facility prices.

Finally, identifying prices that match what parents find in their community is related to how well surveys are conducted. Effective surveys rely on: well constructed survey instruments, trained and skilled interviewers for telephone or in-person interviews, and use of strategies that produce high response rates.

For the majority of tribes, use of state survey findings raises another set of validity issues; do the findings from the state market rate survey represent the prices of facilities that care for tribal children? The majority of states do include tribal facilities in their market rate surveys but it is unclear if this is a representative group of tribal facilities. About three-quarters (73%, 120) of tribes that use the state market rate survey findings check to be sure state survey findings describe tribal prices, and about the same percent (72%, 118) report that they believe it is important to check that state rates match the rates of tribal facilities. It is not clear that a process for checking prices would take fewer resources than conducting a market rate survey.

Whether conducted by state or tribe, market rate survey methods must take into account the challenges of tribal child care markets in order to produce findings that match the prices charged by facilities caring for tribal children.

Conducting a market rate survey assumes there is a market; that is, that facilities have prices determined by what parents who pay the full price of care are willing to pay. In tribes in which tribal and federal funds pay much of the cost of operating child care facilities, there may not be many facilities operating in the "open market."

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Appendices

Appendix A: Tribal Market Rate Survey

The following questions ask about how the tribe receives its child care market rate survey data and how it is used, as well as general information about the tribe. We believe that understanding child care market rate surveys from a tribal perspective will be of great value, and may result in improvements to market rate survey requirements. As you answer the questions, remember that the information you provide will help us to better understand market rate surveys and how they are used.

- Most questions ask you to **CIRCLE O** or **CHECK √** the best answer.
- Some questions ask you to **WRITE** a word or two.
- If you are not quite sure of an answer, please give your best **ESTIMATE**.
- If you have no idea at all how to answer a question, just mark **DON'T KNOW**.

Remember, your answers are confidential - Your name or the tribe's will never be used.

- To keep your answers confidential, we have assigned you a Participant ID number that is located on the top right hand corner of every page. This number allows us to track completed surveys.

We understand that tribes can choose to do their own market rate survey or can use the market rate survey done by the state in which the tribe is located.

1. If a child care provider who cares for tribal children is regulated, who provides the regulation?
 The tribe
 The state
 Both tribe and state
 Other - Please specify _____
 Don't know
2. Does your state include providers from the Tribe's reservation or service area in its survey of child care rates?
 Yes
 No
 Don't know

3. What did you use to identify the child care rates that you submitted as an attachment to Section 3.2 of the 2003 CCDF Plan?

Tribal market rate survey findings (if checked, go to 4)

State market rate survey findings (if checked, go to 5)

Don't know (if checked, go to 6)

Other - please specify _____ (If checked, go to 6)

4. This summer, we will be doing a more extensive survey with states and tribes that conduct market rate surveys and would like your help identifying the appropriate persons to survey.

A. What is the name of the person who deals MOST directly with using the market rate survey to set child care rates for your tribe?

1. Name _____

2. Phone number _____

3. E-mail address _____

B. What is the name of the person who deals MOST with the design of the market rate survey, with data collection and analysis, (if different than person above)?

1. Name _____

2. Phone number _____

3. E-mail address _____

(Go to 7)

5. Do you do check to see if the state rates are close to those of tribal providers?

Yes (if yes, please describe)

No

Don't know

6. Has your tribe conducted a market rate survey in the past?

No (go to question 9)

Yes (go to question 7)

7. When did your tribe conduct its FIRST child care market rate survey? _____
(yyyy)

8. When was the LAST child care market rate survey your tribe conducted? _____
(yyyy)

*Note: If the tribe has only conducted one child care market rate survey, please put this year in both questions 7 and 8.

9. Please tell us if you agree or disagree with the following statements about why your tribe may or may not use the state's child care market rate survey findings for setting payment rates: **CIRCLE** your answers.

	Strongly Agree	Agree	No opinion	Disagree	Strongly Disagree
Tribal child care prices differ from those of providers in the rest of the state.	1	2	3	4	5
We have trouble accessing or using the state's survey results.	1	2	3	4	5
We believe it is important to check that state rates match the rates of tribal providers.	1	2	3	4	5
We believe we would get more accurate rates if the tribe had training and resources to do its own market rate survey.	1	2	3	4	5

Please share some basic information about your tribe:

10. Population: _____

11. Population of service area: _____

12. Number of children ages 13 and under eligible for the subsidy program: _____

13. Number of children who received a child care subsidy in an average month in the Federal Fiscal Year of 2004: _____

14. Does your tribe have its own reservation?

___Yes

___No

15. How is the Tribe's child care service area defined?

___Reservation

___County(ies)

___School districts

___Combination - Please describe: _____

___Other - Please describe: _____

16. Is the tribe a Head Start Grantee?

No (got to question 17)

Yes (proceed to question 16A)

↳ 16A. The number of children enrolled: _____

16B. Head Start model used:

Center only

Mixed model (center and home visit)

Family child care

Other (please describe) _____

Don't know

16C. Schedule:

Part-day, part-year

Part-day, full-year

Full-day, part-year

Full-day, full-year

Other (Please describe) _____

Don't know

↳ 17. Is the tribe an Early Head Start Grantee?

Yes

No

18. Does your tribe operate a non-Head Start child care and early education program?

Yes

No

Please share some information about yourself:

19. What is your current position? _____

20. How many years have you been in this position? _____

21. How many years have you been working with the tribe on child care? _____

22. Are you a member of the tribe?

Yes

No

23. Is there anything else about child care market rate surveys that you would like us to know?

24. If you do choose to use a tribal market rate survey other than the state's survey, why do you do so?

25. Would you like a copy of this report and its findings when completed?

No (go to question 27)

Yes (go to question 26)

26. How would you like it sent?

Mail

E-Mail

27. Currently our records show the following as your contact information. Please make corrections if needed.

	Current	Changes
Name	Name	
Position	Position	
Tribe	Tribe	
Address	Address	
City, State Zip	City, State Zip	
Telephone	Telephone	
Email Address	Email Address	

Thank you for your participation in this survey!

Appendix B: Survey of States, Territories, and Tribes

Section A. Administration/Organization of Market Rate Survey

This section should be completed by someone who is very familiar with the overall administration or organization of the most recently completed market rate survey. The most recently completed market rate survey is defined as the one whose findings have been made public.

A1. What jurisdiction (state, territory, or tribe) do you represent?

- state, please indicate state: _____
- territory, please indicate territory: _____
- tribe, please indicate tribe: _____ [go to question A2]

A1a. Does your state or territory regulate any child care providers who are located on an Indian reservation or in a tribal service area?

- No
- Yes, some non-exempt tribal providers are regulated by our state or territory
- Yes, all non-exempt tribal providers are regulated by our state or territory

A2. In your jurisdiction are there legally binding statutes or administrative rules that affect the jurisdiction's market rate survey?

- No [go to question A3]
- Yes



A2a. Which of the following aspects of the market rate survey do these statutes or rules affect?

NO YES

- how frequently market rate surveys are conducted
- the content of market rate surveys
- how the survey is done; the survey process
- who must be included in the survey sample
- the relationship of maximum payment rates to rate findings
- other aspects of the rate setting process, please specify: _____



A2b. If yes, please list the number and titles of all statutes or administrative rules that affect or govern the market rate survey in your jurisdiction

A3. When was the most recent child care market rate survey completed in this jurisdiction? (Note: If you are currently conducting a market rate survey use the date of the most recently completed survey.

Also, if actual day is unknown, enter 15)

__/__/_____
(MM) (DD) (YEAR)

A4. By federal rule each state and territory is responsible for assuring a market rate survey has been done within two years of the completion of CCDF fund plans. Tribes may conduct their own survey or use their states. Some CCDF lead agencies actually conduct the market rate survey, other lead agencies delegate or contract out all or some aspects of the market rate survey. In reference to the most recently completed market rate survey, please indicate with an X the organization that had PRIMARY responsibility for actually conducting each of the following tasks.

	State/ Territorial/ Tribal Lead Agency	Other state/ territorial/ tribal agency	State Resource and Referral Network	Contractors			This task was not done in most recent market rate survey
				<i>University</i>	<i>Firm</i>	<i>Other</i>	
Determined which providers/facilities to include							
Created survey questions							
Provided assistance to help providers complete survey							
Collected rate data from providers/facilities							
Entered rate data or inputted rate data into licensing or R&R database							
Cleaned the rate data (i.e., identified and corrected clearly erroneous data)							
Analyzed rate data							
Interpreted findings							
Wrote reports on findings							
Disseminated results							

A4a. Please list the NAME of each organization marked by an X in one of the above columns:

Other state/territorial/tribal agency: _____
 State Resource and Referral Network: _____
 University contractor: _____
 Research or Survey Firm: _____
 Other contractor: _____

A5. Some lead agencies use advisory groups to guide their market rate survey process. Others do not. Did your lead agency use an advisory group to guide your most recently completed market rate survey?

- No [go to question A6]
- Yes



A5a. Was this advisory group: *(check only one)*

- created specifically to guide the market rate survey
- an existing group for child care issues/policies
- other, please specify the group's purpose: _____

A6. As the person who is very familiar with the administration/organization of the most recently completed market rate survey, how would you rate the:

	EXCELLENT					POOR	Don't Know
	5	4	3	2	1	<input type="checkbox"/>	
Accuracy of the rate findings	5	4	3	2	1	<input type="checkbox"/>	
Cost-effectiveness of the market rate survey process	5	4	3	2	1	<input type="checkbox"/>	
Ease of managing the market rate survey process	5	4	3	2	1	<input type="checkbox"/>	



A6a. If you indicated 1 or 2 for any of the above, what are your concerns?

A7. All market rate surveys have costs. In this question, please estimate the cost of the most recently completed market rate survey in your jurisdiction (state, territory, tribe). (Note: Enter only digits not commas or periods)

Lead CCDF Agency personnel costs (include all salaries and fringe benefits)	
Staff 1	\$
Staff 2	\$
Staff 3	\$
Non-personnel costs (excluding contractor costs)	\$
Another state/territorial/tribal agency personnel costs (include all salaries and fringe benefits)	
Staff 1	\$
Staff 2	\$
Staff 3	\$
Non-personnel costs (excluding contractor costs)	\$
If contractors were used, what was the total contracted amount paid to:	
State Child Care Resource & Referral Network	\$
University/college	\$
Research or survey firm	\$
Other contractor	\$
TOTAL costs for most recently completed market rate survey	\$

A8. Are state, territorial, or tribal funds, including CCDF and TANF block grant funds, being invested in Child Care Resource & Referral services?

- No [go to question A9]
- Yes



A8a. What is the total annual amount of these funds invested in CCR&R services?

\$

A8b. Does the CCR&R system have a person responsible for ensuring accurate and reliable data (e.g., they do staff training, data management, checking for data consistency)

- No
- Yes

A9. Market rate surveys are designed to inform child care subsidy programs. Please indicate how satisfied you are with each of the following different aspects of the subsidy program in your jurisdiction: *(Note: This question will not be reported by state, territory, or tribe, but will be aggregated across jurisdictions).*

	VERY SATISFIED			VERY DISSATISFIED		Don't Know
	5	4	3	2	1	<input type="checkbox"/>
The adequacy of current rates paid for subsidized care	5	4	3	2	1	<input type="checkbox"/>
The proportion of eligible families served	5	4	3	2	1	<input type="checkbox"/>
The quality of child care for subsidized children	5	4	3	2	1	<input type="checkbox"/>

Section B. Provider Population and Sample

The following section should be completed by the person(s) most knowledgeable about actual sampling procedures in the most recently completed market rate survey. The most recently completed market rate survey is defined as the one whose findings have been made public.

B1. What source(s) were used to identify providers/facilities to participate in the most recently completed market rate survey? (*check all that apply*)

- database of providers/facilities regulated by child care *licensing* agency
- database of providers/facilities receiving child care *subsidies*
- database of providers/facilities enrolled with a child care *resource and referral* agency
- other*, please specify _____

B2. What were your reasons for identifying providers from this database(s)? (*check all that apply for each source you indicated in B1*)

Licensing Database	Subsidy Database	R&R Database	Other Database
<input type="checkbox"/> most up-to-date			
<input type="checkbox"/> most complete			
<input type="checkbox"/> most accurate			
<input type="checkbox"/> no cost or minimal cost			
<input type="checkbox"/> required to use by statute or rule	<input type="checkbox"/> required to use by statute or rule	<input type="checkbox"/> required to use by statute or rule	<input type="checkbox"/> required to use by statute or rule
<input type="checkbox"/> other, please specify: _____			

B3a. Some jurisdictions identify providers from multiple databases in their final dataset. Does the final dataset of providers include regulated providers/facilities (centers and family child care providers/facilities, including regulated after school program)?

- None [go to question B3b]
- Some
- Most
- All

B3a1. What was the total number of regulated providers/facilities in the final dataset? _____

B3a2. What type of sample was selected? (*check only one response*)

- total population
- random sample
- stratified random sample; stratified by what characteristics: _____

B3b. Does the final dataset of providers include family child care providers/facilities who are legally exempt from regulation?

- No [go to question B3c]
- Yes

B3b1. What was the total number of family child care providers/facilities in the final dataset?

B3b2. What type of sample was selected? (*check only one response*)

- total population
- random sample
- stratified random sample; stratified by what characteristics: _____

B3c. Does the final dataset of providers include family, friends, or neighbors who are legally exempt from regulation? Please specify which ones (family, friends, neighbors):

- No [go to question B3d]
- Yes

B3c1. What was the total number of family, friends, or neighbors in the final dataset? _____

B3c2. What type of sample was selected? (*check only one response*)

- total population
- random sample
- stratified random sample; stratified by what characteristics: _____

B3d. Does the final dataset of providers include centers that are legally exempt from regulation?

- No centers are exempt from regulation in jurisdiction [go to question B3e]
- No [go to question B3e]
- Yes

B3d1. What was the total number of centers in the final dataset? _____

B3d2. What type of sample was selected? (*check only one response*)

- total population
- random sample
- stratified random sample; stratified by what characteristics: _____

B3e. Does the final dataset of providers include after-school education (non-regulated)?

- No [go to question B3f]
- Yes

B3e1. What was the total number of after-school education providers/facilities in the final dataset? _

B3e2. What type of sample was selected? (*check only one response*)

- total population
- random sample
- stratified random sample; stratified by what characteristics: _____

B3f. Does the final dataset include providers located on military facilities?

- No [go to question B3g]
- Yes

B3f1. What was the total number of military providers/facilities in the final dataset? _____

B3f2. What type of sample was selected? (*check only **one** response*)

- total population
- random sample
- stratified random sample; stratified by what characteristics: _____

B3g. Does the final dataset of providers include providers/facilities located on tribal reservations or in tribal service areas and regulated by the state?

- No [go to question B3h]
- Yes, some
- Yes, all

B3g1. What was the total number of providers/facilities of this type in the final dataset (enter X if the number cannot be determined)? _____

B3g2. What type of sample was selected? (*check only **one** response*)

- total population
- random sample
- stratified random sample; stratified by what characteristics: _____

B3h. Does the final dataset of providers include providers/facilities located on tribal reservations or in tribal service areas and regulated by the tribe?

- No [go to question C1]
- Yes, some
- Yes, all

B3h1. What was the total number of providers/facilities of this type in the final dataset (enter X if the number cannot be determined)? _____

B3h2. What type of sample was selected? (*check only **one** response*)

- total population
- random sample
- stratified random sample; stratified by what characteristics: _____

Section C. Data Collection

The following section should be completed by the person(s) most knowledgeable about actual data collection procedures in the most recently completed market rate survey. The most recently completed market rate survey is defined as the one whose findings have been made public.

C1. Some states/territories/tribes collect data from providers through surveys, while others use data collected by Resource and Referral or Licensing agencies. What type of data collection method was used for the most recently completed market rate study?

- data collected by mail, telephone, and/or web-based survey [go to question C1a]
- data collected by Resource and Referral and/or Licensing [go to question C1b]

C1a. What specific data collection method was used? (check all that apply)

- Mail survey of providers/facilities [go to question C2]
- Telephone survey of providers/facilities [go to question C2]
- Web-based survey of providers/facilities [go to question C2]

C1b. What specific data collection method was used?

- Resource and Referral agency download [go to question C7]
- Licensing agency download [go to question C7]
- Other, please specify: _____ [go to question C7]

C2. Was the survey field-tested or piloted before it was used?

- No [go to question C3]
- Yes

C2a. If yes, which of the following provider types were included in the pilot? (*check all that apply*)

- Regulated providers/facilities (centers and family child care providers/facilities, including regulated after school programs)
- Family child care providers/facilities who are legally exempt from regulation
- Family, friends, or neighbors who are legally exempt from regulation
- Centers that are legally exempt from regulation
- After-school education (non-regulated)
- Providers located on tribal reservations or in tribal service areas and regulated by the state
- Providers located on tribal reservations or in tribal service areas and regulated by the tribe

C2b. What was the TOTAL number of providers/facilities in the pilot sample? _____

In answering the following questions, consider the most recently completed market rate survey; not the pilot.

C3. Was the survey available in any languages other than English?

- No
- Yes, what other languages? _____

C4a. From the population of providers/facilities from all sources, how many providers/facilities did you try to reach in the most recently completed market rate survey? _____

C4b. How many providers/facilities were reached but refused to participate? _____

C4c. How many providers were dropped from the sample (e.g., they currently are not providing care, no valid telephone number, not charging for child care, etc.) _____

C4d. How many providers/facilities completed the survey? _____

C5. Were any of the following strategies used to encourage providers/facilities to participate? (*check all that apply*)

- financial incentives for respondents, if so how much: \$____.____
- follow-up letters or calls to encourage response
- training/technical assistance on how to complete the survey
- other incentives, please specify: _____

C6. For the most recently completed market rate survey, in what month, day, and year was data collection completed? (If actual day is unknown, enter 15) [go to question **C13**]

__/__/____
(MM) (DD) (YEAR)

Skip from C1b start here

C7. In some states, CCR&R and Licensing agencies call providers to update rate information specifically for use in the market rate survey. In your state, territory or tribe, did the CCR&R or licensing agency update rate data for the most recently completed market rate survey?

- No [go to C10]
- Yes, updated rate information from some providers
- Yes, updated rate information from all providers

C8. Was this update conducted in any languages other than English?

- No
- Yes, what other languages? _____

C9. Were any of the following strategies used to encourage providers/facilities to give up-to-date rate information? (*check all that apply*)

- providing rate data is required to be included in the CCR&R database
- providing rate data is required as part of licensing or re-licensing
- financial incentives for providers, if so how much: \$____.____
- follow-up letters or calls to encourage response
- other incentives, please specify: _____

C10. Estimate the number of providers in the entire database who do not report price data? _____

C11. What is your jurisdictions' standard for how recent the CCR&R or Licensing data should be at the time of the download?

- No standard
- Rate data should be less than 3 months old at time of download
- Rate data should be 4- 6 months old at time of download
- Rate data should be 7-12 months old at time of download
- Other, please specify _____

C12. When (on what date) was CCR&R or licensing agency data downloaded for the most recently completed market rate survey? (If actual day is unknown, enter 15)

__/__/_____
(MM) (DD) (YEAR)

ALL Respondents

C13. What is your BEST estimate of the time needed for a single provider/facility to provide the requested information?

- Less than 5 minutes
- 6-10 minutes
- 11-15 minutes
- 16-20 minutes
- 21-25 minutes
- 26-30 minutes
- 31-60 minutes
- over one hour
- don't know

C14. Which of the following statements BEST describes how providers/facilities reported rate information for the most recently completed market rate survey?

- Providers/facilities reported their rates into pre-determined modes provided on the survey (e.g., hourly, daily, weekly, monthly) [go to question C15]
- Providers/facilities reported their actual rates in whatever mode they charge



C14a. Were the providers/facilities themselves asked to convert their rates to some standard mode such as to monthly or hourly rate?

- No
- Yes

C15. Were reported rates checked for internal consistency (e.g., data checks to confirm that data was within reasonable range)?

- No
- Yes, please describe the procedures used to check for consistency of rate data:

C16. In the most recently completed market rate survey, were rate information collected:

NO YES

By Modes of pricing

- hourly
- daily
- weekly
- monthly

From No-fee centers or programs

- Head Start / Early Head Start programs
- State-funded pre-kindergarten programs
- Migrant Head Start
- Other no fee programs; please specify: _____

For

- Non-standard hours care, defined as: _____

By schedule

- Part-day
- Part-week

By age categories

- Infant care
- Toddler care
- Preschool-age
- School-age

→ If YES for school-age, were rate information collected by: (*check all that apply*)

- School-age school year
- School-age summer
- None of the above

→ C16a. Do these age categories parallel your state, territory, or tribes' regulatory age categories?

- Yes
- No

C17. In conducting market rate surveys, some states/territories/tribes ask providers/facilities about serving a high percentage of subsidized children. Did you ask providers/facilities the number or percent of children in care who receive a subsidy?

- No [go to question C19]
- Yes

C18. Were any providers/facilities with subsidized children excluded from your jurisdiction's most recently completed market rate survey?

- Don't know [go to question C19]
- No [go to question C19]
- Yes

→ C18a. What percent of subsidized children lead to exclusion of provider? ___%

C19. Some states/territories/tribes collect additional, fee information from providers/facilities. In the most recently completed market rate survey, which, if any, of the following types of provider/facility information were collected or available in the database you used: *(check all that apply)*

Other price information

- Registration fees
- Transportation fees
- Food fees
- Activity fees
- Other, please specify _____

C20. In the most recently completed market rate survey, which, if any, of the following types of provider/facility non-rate information were collected or available in the database you used: (*check all that apply*)

Provider information

- Licensed capacity for children
- Licensed capacity for children by age group
- Desired capacity of children
- Desired capacity of children by age group
- Actual current enrollment of children
- Actual current enrollment of children by age group
- Other, please specify: _____

Provider/Caregiver Characteristics

- Home based provider education level
- Home based provider training level
- Home based provider wages
- Home based provider benefit level
- Center based provider education level
- Center based provider training level
- Center based provider wages
- Center based provider benefit level
- Other; please specify _____

Facility Characteristics

- Group size
- Adult-child ratio
- Accreditation status
- Other sources of support for care
- For-profit/nonprofit status
- Sponsorship
- Other; please specify _____

Provider's actual cost of providing care including insurance, space and utility costs, etc.

Section D. Data Analysis

The following section should be completed by the person most knowledgeable about the analyses conducted in the most recently completed market rate survey. The most recently completed market rate survey is defined as the one whose findings have been made public.

D1. In conducting market rate surveys, some states/territories/tribes exclude some types of providers/facilities from their rate analysis. Which, if any, of the following types of providers/facilities were excluded from the rate analysis in your jurisdiction's most recently completed market rate survey? (*check all that apply*)

No-fee centers or programs

- Head Start programs
- State-funded pre-kindergarten programs
- Migrant Head Start
- Providers/facilities serving only subsidized children
- Providers/facilities serving a high percent of subsidized children. If yes, what percent lead to exclusion: ____ %
- Part-day, part-week programs
- Other types of providers/facilities, please specify:

D2. In your analysis of the most recently completed market rate survey, was your unit of analysis provider/facility, slot, or both?

- Provider/facility [go to question D3]
- Slot [go to question D2a]
- Both [go to question D2a]
- Don't know [go to question D3]

D2a. What was used as a basis for weighting child care slots in the most recently completed market rate survey? (*check all that apply*)

- Licensed capacity for children
- Licensed capacity for children by age group
- Desired capacity of children
- Desired capacity of children by age group
- Actual current enrollment of children served
- Actual current enrollment of children served by age group
- Other, please specify: _____

D3. Below are several ways in which market rate survey data can be analyzed for reporting purposes. In which ways were rate data analyzed in your most recently completed market rate survey?

NO YES Don't
 Know

By geographic areas

- county
- zip code
- region (could include county clusters)
- whole state, territory, or tribal reservation/service area
- other, please specify: _____

By age categories

- infant
- toddler
- preschool-age
- school-age

↳ If YES for school-age, were rate information collected by: (*check all that apply*)

- School-age school year
- School-age summer
- None of the above

By Type of care

- center
- family child care home
- family child care group home
- in-home
- family, friends, or neighbors
- school-age centers
- school-age enrichment activities

By Modes of pricing

- hourly
- daily
- weekly
- monthly

D4. In your most recently completed market rate survey, were separate analyses conducted to examine any of the following? (check all that apply)

- Part-day rates; what hours defined part-day? _____
- Part-week rates; how many days defined part-week? _____
- Rates for care of children with special needs
- Rates in limited markets such as rural areas
- Rate trends over time (e.g., last 3 years)
- Rates by structural quality indicators such as group size, adult-child ratio, education and training level, turnover rate, compensation, or accreditation
- Other analyses, please specify: _____

D5. In the analysis, were rates reported by providers converted to some standard mode such as to a monthly or hourly rate?

- No [go to question D6]
- Yes

D5a. What standard mode was used? _____

D5b. What method or formula was used to convert the reported rates to the standard mode? _____

D6. In your jurisdiction's most recently completed market rate survey, was child care accessibility estimated? (the percent of market to which families with subsidies have access estimated)

- No [go to question D7]
- Yes

D6a. How was child care accessibility calculated? _____

D6b. To approximately what percentile of the market do current rates provide access? _____

D7. Were any methodological problems or issues encountered in the most recently completed market rate survey?

- No
- Yes, please describe: _____

D8. For the most recently completed market rate survey, was the methodology changed or revised from methodologies used in the previous market rate survey?

- No [go to question D9]
- Yes, please describe what changes or revisions were made to the market rate survey methods: _____

D9. Are you currently conducting a market rate survey?

- No [go to question D10]
- Yes

→ D9a. Are you using a different method than in your most recently completed market rate survey?

- No [go to question E1]
- Yes

→ D9a1. Please describe this method: _____

D9a2. Would you be willing to complete this survey again for the market rate survey you are currently conducting?

- No [go to question E1]
- Yes [go to question E1]

→ D10. Do you anticipate that the method used in the most recently completed market rate survey will be repeated in future surveys?

- Yes [go to question E1]
- No

→ D10a. If no, why not? _____

Section E. Dissemination

E1. Were the results of the most recently completed market rate survey published or otherwise disseminated in any of the following ways? (*check all that apply*)

- Printed report
- Web posting; URL _____
- News release
- Other, please specify: _____

E2. Were the market rate survey results shared with any of the following groups: (*check all that apply*)

- Legislators
- State child care resource & referral network
- Local child care resource & referral agencies
- Providers
- Parents
- Others, please specify: _____

Section F. Rate Setting

The following section should be completed by the person most knowledgeable about methods for setting payment rates.

F1. When were the current maximum payment rates set? __/__/____
(MM) (DD) (YEAR)
(If actual day is known, enter 15)

F2. Were these current rates adjusted based on the most recently completed market rate survey? (Note: The most recently completed market rate survey is defined as the one whose findings have been made public.)

- No, payment rates were not adjusted as a result of the most recent survey [go to F3]
- No, not yet, but will be within the next several months [go to question F3]
- Yes

F2a. Following the most recently completed market rate survey, payment rates were or are likely to be:

- kept the same
- increased for all types of care and age categories
- decreased for all types of care and age categories
- increased for some types of care and age categories
- decreased for some types of care and age categories

F3. Are your jurisdiction's current payment rates set at or above the 75th percentile of rates based on the most recently completed survey?

- No
- Yes, for all
- Yes, for some categories; please describe: _____

F4. Many factors may influence rate setting. In your jurisdiction which of the following factors were influential in setting payment rates?

No, not influential in setting current rates	Yes, influential in setting current rates	
<input type="checkbox"/>	<input type="checkbox"/>	A. Demand for subsidies
<input type="checkbox"/>	<input type="checkbox"/>	B. Overall state/territorial/tribal budget
<input type="checkbox"/>	<input type="checkbox"/>	C. State/Territorial/Tribal funding for child care
<input type="checkbox"/>	<input type="checkbox"/>	D. Findings of recently completed market rate survey
<input type="checkbox"/>	<input type="checkbox"/>	E. Level of federal CCDF funding to state/territory/tribe
<input type="checkbox"/>	<input type="checkbox"/>	F. Provider concerns
<input type="checkbox"/>	<input type="checkbox"/>	G. Desire to invest in quality of child care initiatives
<input type="checkbox"/>	<input type="checkbox"/>	H. State/territorial/tribal TANF policies
<input type="checkbox"/>	<input type="checkbox"/>	I. Desire to maximize the number of families with access to subsidies

F4a. Of all those checked “Yes, influential in setting current rates” above, which three factors are the most influential factors?

First most important (enter letter from above): _____

Second most important (enter letter from above): _____

Third most important (enter letter from above): _____

F5. Were there other significant factors, not listed above, that influenced setting current payment rates?

F6. For which of the following categories were current payment rates set?

NO YES Don't
 Know

By geographic areas

- | | | | |
|--------------------------|--------------------------|--------------------------|--|
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | county |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | zip code |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | region (could include county clusters) |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | whole state, territory, or tribal reservation service area |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | other, please specify: _____ |

By age categories

- | | | | |
|--------------------------|--------------------------|--------------------------|---------------|
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | infant |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | toddler |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | preschool-age |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | school-age |

↳ If YES for school-age, were rate information collected by: (*check all that apply*)

- School-age school year
- School-age summer
- None of the above

By type of care

- | | | | |
|--------------------------|--------------------------|--------------------------|----------------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | center |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | family child care home |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | family child care group home |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | in-home |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | school-age centers |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | school-age enrichment activities |
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Special needs care |

F6c1. Were payment rates set for family, friends, or neighbors?

- No [go to question F7]
- Yes

F6c2. How were the payment rates established for family, friends, and neighbors?

F7. Which, if any, of the following types of fees were paid to providers through the subsidy program?
(check all that apply)

- Registration fees
- Transportation fees
- Food fees
- Activity fees
- Other, please specify: _____

F8. Were payment rates set for geographic areas with providers with low or no prices (e.g., rural and high-density low-income urban areas)?

- No [end]
- Yes

F8a. How were these rates established for geographic areas with low or no prices?

Thank you for your participation in this survey!

Tribal Profiles

Note: Information and comments included in these profiles were provided by the Tribes.

Tanana Chiefs Conference, Inc. - Alaska

Description of Tribe

“Tanana Chiefs Conference, a traditional tribal consortium of 42 villages of interior Alaska near Fairbanks, is based on a belief in tribal self-determination and the need for regional Native unity.”

Tribal population: **12,000**
 Population of service area: **12,000**
 Reservation: **No**
 Number of children eligible for CCDF: **Not reported**
 Number of children served with CCDF funds in FY 2004 in an average month: **521**
 Tribe operates a child care center: **Yes**
 Tribe is Head Start Grantee: **Yes**
 Tribe is an Early Head Start Grantee: **Yes**

Market Rate Survey

Organization that did market rate survey: **Tanana Chiefs Conference, Inc.**
 Date of first survey: **Not reported**
 Date of last survey: **2004**

Providers Surveyed

Data source: **Tribal database of providers including centers, family child care providers and in-home providers**
 Number of providers surveyed: **Not reported**

Survey Method and Data Collected

Survey method: **Not reported**
 Data collected: **Type of care provided, rates, ages, special needs**

Data Analysis and Publication

Analysis: **Descriptive summary using averages**
 Publication: **Descriptive summary published in their CARES program report, completed in partnership with Alaska CARES.**

Rate Setting

Use of market rate survey findings: **Setting rates; Tribe compares tribal rates to survey findings with desire to stay near average.**
 Factors used in setting rates: **Survey findings**

Survey Cost

Cost not known; tribal staff carry out the survey as a part of their job.

Additional Information

The tribe set rates in January 2005. The majority of providers do child care in their homes or the child’s home and care for less than four children. The majority of children served are under three years of age. Although maximum payment levels have not increased, child care prices have increased.

Cocopah Indian Tribe - Arizona

Description of Tribe

“The Cocopah (Kwapa), also known as the River People, have lived along the lower Colorado River and delta. The Cocopah people, described as generous and non-materialistic, have maintained their traditional and cultural beliefs through the various political environments and the ever-changing landscapes.”

Tribal Population: **1,000**

Population of service area: **700**

Reservation: **Yes**

Number of children eligible for CCDF: **56**

Number of children served with CCDF in FY2004 in an average month: **Not reported**

Tribe operates a child care center: **Yes**

Tribe is Head Start Grantee: **Yes**

Tribe is Early Head Start Grantee: **No**

Market Rate Survey

Organization that did market rate survey: **Cocopah Indian Tribe**

Date of first survey: **Not reported**

Date of last survey: **2003**

Providers Surveyed

Data source: **Not reported**

Number of providers surveyed: **Not reported**

Survey Method and Data Collected

Survey method: **Not reported**

Data collected: **Not reported**

Data Analysis and Publication

Analysis: **Not reported**

Publication: **Not reported**

Rate Setting

Use of market rate survey findings: **Not reported**

Factors used in setting rates: **Not reported**

Survey Cost

Cost not known; tribal staff carry out the survey as a part of their job. The administration employee aids in the completion of the report.

Additional Information

Bishop Paiute Tribe - California

Description of Tribe

The Bishop Paiute Tribe is located in the heart of California's Eastern Sierra Mountains.

Tribal Population: **650**

Population of service area: **8,500**

Reservation: **Yes**

Number of children eligible for CCDF: **369**

Number of children served with CCDF funds in FY 2004 in an average month: **20**

Tribe operates a child care center: **Yes**

Tribe is Head Start Grantee: **Yes**

Tribe is an Early Head Start Grantee: **Yes**

Date of first market rate survey: **2004**

Date of last market rate survey: **2005**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	Lead Agency
Created survey questions:	R&R
Assisted facilities to complete survey:	R&R
Collected price data from facilities:	R&R
Cleaned the collected data:	Other Tribal
Analyzed price data:	Lead Agency
Interpreted findings:	Lead Agency
Wrote reports on findings:	Lead Agency
Published results:	Lead Agency

Facilities Surveyed

Database used to identify providers:

Facilities regulated by child care licensing agency: **Yes**

Facilities receiving child care subsidies: **Yes**

Facilities enrolled with R&R agency: **Yes**

<i>Facilities included in the dataset</i>	Included	Number	Type of sample
Licensed facilities	All	32	Total
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No		
After-school education (non-licensed)	Yes	6	Total
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	Yes, all	2	Total
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	Yes, all	12	Total
TOTAL facilities in final dataset		52	

Data Collection

Method(s): **Resource and Referral agency download**

Updated rate data: **Yes, updated rate information from some providers**

Strategies used to encourage facilities to give up-to-date price information: **Providing rate data is required as part of licensing or re-licensing; Financial incentives for respondents; Follow-up letters or calls to encourage response**

Standard for how recent data should be at the time of the download: **Rate data should be less than 3 months old**

Date data was downloaded:

Time needed for a single facility to give information: **26 - 30 minutes**

How facilities reported price information: **In the mode they charge**

Asked about the # of children in care that receive subsidy: **Yes**

Were any facilities that served subsidized children excluded: **No**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	Yes	Yes
Zip-Code	Yes	Yes
Region	Yes	Yes
Tribe	Yes	Yes
Other geographic area	-	Yes, Tribes on CDF program
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	Yes	Yes
In-home	Yes	Yes
Family, friends, neighbors	Yes	No
School-age centers	Yes	Yes
School-age enrichment activities	Yes	Yes
Special needs care	This project did not ask this question on the questionnaire.	Yes
Pricing Mode		
Hourly	Yes	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	Yes	
Monthly	Yes	

Data Analysis

Facilities excluded from analysis: **Head Start programs; State-funded pre-kindergarten programs; Migrant Head Start; Facilities serving only subsidized children; Facilities serving a high percent of subsidized children; Part-day, Part-week programs**

Unit of analysis: **Facility**

Were prices converted to some standard mode such as to monthly or hourly price? **Yes; Monthly or Hourly**

Rate Setting

Date current payment rates were set: **1/01/2001**

Rates adjusted based on recent survey: **No, not yet**

Are payment rates set at or above the 75th percentile of prices? **Yes, for all categories**

Important factors in setting current rates:

- 1 – **Overall budget**
- 2 – **Level of federal CCDF funding**
- 3 – **Findings of recently completed market rate survey**

Total Cost of Market Rate Survey: **\$58,904**

Lead Agency: **\$23,904**

Other agency: **\$35,000**

State R&R Network:

University/College:

Research or survey firm:

Other contractor:

Report is Available for Download at: **Not available**

Redding Rancheria - California

Description of Tribe

Redding Rancheria is the Tribal organization for descendants of indigenous Northern California Indian tribes in Shasta County California.

Tribal population: **200**

Population of service area: **15,000**

Reservation: **Yes**

Number of children eligible for CCDF: **1,500**

Number of children served with CCDF funds in FY 2004 in an average month: **30**

Tribe operates a child care center: **Yes**

Tribe is Head Start Grantee: **Yes**

Tribe is an Early Head Start Grantee: **No**

Market Rate Survey

Organization that did market rate survey: **Redding Rancheria**

Date of first survey: **Not reported**

Date of last survey: **2005**

Providers Surveyed

Data source: **Child care resource and referral agency and other providers known to tribe**

Number of providers surveyed: **14 including seven tribal family child care providers, one tribal child care center, three family child care providers in larger community, and three child care centers in the larger community**

Survey Method and Data Collected

Survey method: **Telephone survey**

Data collected: **Type of care provided, rates, ages, part-time vs. full-time care, discounts for part-week vs. full-week**

Data Analysis and Publication

Analysis: **Descriptive summary**

Publication: **In CCDF grant proposal**

Rate Setting

Date current maximum payment rates set: **Not reported**

Factors used in setting rates: **Survey findings, number of families needing assistance.**

Are maximum payment rates set at 75th percentile: **No; Goal is to set rate in the middle of the rates found in the survey in order to serve the highest number of families possible. Rates are set for tribal programs and vouchers.**

Survey Cost

Cost not known; tribal staff carry out the survey as a part of their job

Additional Information

The tribe reported being challenged by the need for more money to pay providers more and serve more families.

Prairie Band Potawatomi - Kansas

Description of Tribe

The Prairie Band Potawatomi Nation is a tribal unit that originated in the Great Lakes area many years ago. The Prairie Band Potawatomi people known as the “Keepers of the Fire” moved to Kansas and tribal services come out of Mayetta.

Tribal Population: **4,000**

Population of service area: **300**

Reservation: **Yes**

Number of children eligible for CCDF: **85**

Number of children served with CCDF funds in FY 2004 in an average month: **Not reported**

Tribe operates a child care center: **Yes**

Tribe is Head Start Grantee: **Yes**

Tribe is an Early Head Start Grantee: **Yes**

Date of first market rate survey: **1992**

Date of last market rate survey: **2005**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	Lead Agency
Created survey questions:	Lead Agency
Assisted facilities to complete survey:	Task not done
Collected price data from facilities:	Lead Agency
Cleaned the collected data:	Task not done
Analyzed price data:	Lead Agency
Interpreted findings:	Task not done
Wrote reports on findings:	Task not done
Published results:	Lead Agency

Facilities Surveyed

Database used to identify providers:

Facilities regulated by child care licensing agency: **No**

Facilities receiving child care subsidies: **No**

Facilities enrolled with R&R agency: **Yes**

<i>Facilities included in the dataset</i>	Included	Number	Type of sample
Licensed facilities	All	3	Total
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No		
After-school education (non-licensed)	Yes	3	Total
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	Yes, some	1	Total
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	Yes, some	1	Total
TOTAL facilities in final dataset		8	

Data Collection

Method(s): **Telephone**

Strategies to encourage facilities to participate:

None reported

Number of facilities tried to reach: **8**

of facilities who refused to participate: **0**

of facilities dropped from the sample: **0**

of facilities who completed the survey: **3**

Date data collection was completed: **5/31/2005**

Time needed for a single facility to give

information: **Less than 5 minutes**

How facilities reported price information: **Actual rates in whatever mode they charge**

Asked about the # of children in care that receive subsidy: **No**

Data Analysis

Facilities excluded from analysis: **Migrant Head Start; Facilities serving only subsidized children; Part-day, Part-week programs**

Unit of analysis: **Facility**

Were prices converted to some standard mode such as to monthly or hourly price? **No**

Rate Setting

Date current payment rates were set: **7/21/2005**

Rates adjusted based on recent survey: **No**

Are payment rates set at or above the 75th percentile of prices? **No**

Important factors in setting current rates:

- 1 – **Overall budget**
- 2 – **Level of federal CCDF funding**
- 3 – **Desire to maximize the number of families with access to subsidies**

Total Cost of Market Rate Survey: \$28

Lead Agency: **\$28**

Other agency:

State R&R Network:

University/College:

Research or survey firm:

Other contractor:

Report is Available for Download at: Not available

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	Yes	No
Zip-Code	No	No
Region	No	No
Tribe	Yes	Yes
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	No
Type of Care		
Center	Yes	Yes
Family	No	No
Group home	No	No
In-home	No	No
Family, friends, neighbors	No	Don't Know
School-age centers	No	No
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	No	This project did not ask this question on the questionnaire.
Daily	No	
Weekly	Yes	
Monthly	Yes	

Chitimacha Indian Tribe - Louisiana

Description of Tribe

The Chitimacha Indian Tribe is located in Charenton Louisiana, in the delta of the Mississippi River and the adjoining Atchafalaya Basin of south-central Louisiana.

Tribal population: **900**

Population of service area: **1,500**

Reservation: **Yes**

Number of children eligible for CCDF: **35**

Number of children served with CCDF funds in FY 2004 in an average month: **19**

Tribe operates a child care center: **Yes**

Tribe is Head Start Grantee: **No**

Tribe is an Early Head Start Grantee: **No**

Market Rate Survey

Organization that did market rate survey: **Chitimacha Tribe**

Date of first survey: **2003**

Date of last survey: **2004**

Providers Surveyed

Data source: **Licensed centers in two parishes identified from phone book**

Number of providers surveyed: **Four to five centers every year**

Survey Method and Data Collected

Survey method: **Telephone calls**

Data collected: **Part- and full-time rates**

Data Analysis and Publication

Analysis: **Not reported**

Publication: **None**

Rate Setting

Use of market rate survey findings: **Used by school board in setting rates**

Factors used in setting rates: **Survey findings, state's market rate survey findings, tribal budget, parent input**

Survey Cost

Cost not known; tribal staff carry out the survey as a part of their job

Additional Information

Area centers charge the same rate regardless of age of child. The tribe's center charges \$65.00 per week and no more because the parents "think it is a lot of money".

Shakopee Mdewakanton Dakota Community - Minnesota

Description of Tribe

Located in Prior Lake, Minnesota, tribal lands are located in Prior Lake and Shakopee, Minnesota. The Shakopee Mdewakanton Dakota Sioux are direct lineal descendants of Mdewakanton Dakota people who resided in villages near the banks of the lower Minnesota River.

Tribal population: **300**

Population of service area: **500**

Reservation: **Yes**

Number of children eligible for CCDF: **261**

Number of children served with CCDF funds in FY 2004 in an average month: **17**

Tribe operates a child care center: **Yes**

Tribe is Head Start Grantee: **No**

Tribe is an Early Head Start Grantee: **No**

Market Rate Survey

Organization that did market rate survey: **Shakopee Mdewakanton Dakota Community**

Date of first survey: **2001**

Date of last survey: **2003**

Providers Surveyed

Data source: **List of child care centers known to tribe**

Number of providers surveyed: **Only centers were contacted; licensed family child care providers had been contacted in prior survey**

Survey Method and Data Collected

Survey method: **Telephone**

Data collected: **Rates, full-time vs. part-time care**

Data Analysis and Publication

Analysis: **Compare rates of tribal center (largest in area) to survey results**

Publication: **CCDF Plan**

Rate Setting

Use of market rate survey findings: **Used to find going rate for center care**

Factors used in setting rates: **Survey findings, state market rate survey findings**

Survey Cost

Cost not known; tribal staff carry out the survey as a part of their job

Additional Information

The tribal representative shared that both child care and the market rate survey “cost too much”.

White Earth Reservation Tribal Council - Minnesota

Description of Tribe

“White Earth Reservation is located in Becker, Clearwater, and Mahnomen in south central Minnesota. Created in 1857 by a treaty between the United States and the Mississippi Band of Chippewa Indians, it is one of seven Chippewa reservations in Minnesota. Although the White Earth Chippewa no longer live as their ancestors did, they have kept alive their tribal heritage. Almost every aspect of their every-day life has been strongly influenced by the past.”

Tribal Population: **20,000**

Population of service area: **20,000**

Reservation: **Yes**

Number of children eligible for CCDF: **181**

Number of children served with CCDF funds in FY 2004 in an average month: **75**

Tribe operates a child care center: **Yes**

Tribe is Head Start Grantee: **Yes**

Tribe is an Early Head Start Grantee: **Yes**

Date of first market rate survey: **2003**

Date of last market rate survey: **2005**

<i>Facilities included in the dataset</i>	Included	Number	Type of sample
Licensed facilities	All	57	Random
Family child care facilities who are legally exempt from licensing	Yes	32	Random
Family, friends, or neighbors who are legally exempt from licensing	Yes	18	Random
Centers that are legally exempt from licensing	Yes	1	Total
After-school education (non-licensed)	Yes	2	Total
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	Yes, some	4	Random
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	Yes, some	6	Random
TOTAL facilities in final dataset		120	

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey: **R&R**
 Created survey questions: **R&R**
 Assisted facilities to complete survey: **Lead Agency**
 Collected price data from facilities: **R&R**
 Cleaned the collected data: **R&R**
 Analyzed price data: **Lead Agency**
 Interpreted findings: **Lead Agency**
 Wrote reports on findings: **R&R**
 Published results: **Lead Agency**

Comments: We use the state’s R&R data, randomly called providers/centers in our service area and contacted the county social service in our area and received their rates. Staff and some advisory board members compared all the data and set our rates accordingly.

Facilities Surveyed

Database used to identify providers:

Facilities regulated by child care licensing agency: **Yes**

Facilities receiving child care subsidies: **Yes**

Facilities enrolled with R&R agency: **Yes**

Data Collection

Method(s): **Phone**

Strategies to encourage facilities to participate:

None reported

Number of facilities tried to reach: **75**

of facilities who refused to participate: **0**

of facilities dropped from the sample: **13**

of facilities who completed the survey: **52**

Date data collection was completed: **6/14/2005**

Time needed for a single facility to give information: **Less than 5 minutes**

How facilities reported price information: **In the mode they charge**

Asked about the # of children in care that receive subsidy: **No**

Data Analysis

Facilities excluded from analysis:

Unit of analysis: **Facility**

Were prices converted to some standard mode such as to monthly or hourly price? **No**

Rate Setting

Date current payment rates were set: **6/14/2005**
 Rates adjusted based on recent survey: **Yes;**
Increased for all types of care and age categories
 Are payment rates set at or above the 75th
 percentile of prices? **Yes, for some categories**
Important factors in setting current rates:
 1 – **Overall budget**
 2 – **Findings of recently completed market rate**
survey
 3 – **Provider concerns**

Total Cost of Market Rate Survey: \$1,414

Lead Agency: **\$964**
 Other agency: **\$450**
 State R&R Network:
 University/College:
 Research or survey firm:
 Other contractor:

Report is Available for Download at: Not available

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	Yes	Yes
Zip-Code	No	Yes
Region	No	Yes
Tribe	Yes	Yes
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	Yes	Yes
Group home	No	No
In-home	Yes	Yes
Family, friends, neighbors	Yes	Yes
School-age centers	No	Yes
School-age enrichment activities	No	Yes
Special needs care	This project did not ask this question on the questionnaire.	Yes
Pricing Mode		
Hourly	Yes	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	Yes	
Monthly	No	

Mississippi Band of Choctaw Indians - Mississippi

Description of Tribe

“Located in Choctaw, the Mississippi Band of Choctaw Indians has lived in present-day Mississippi and parts of Louisiana and Alabama since the times before history was even recorded.”

Tribal population **9,300**

Population of service area: **Not reported**

Reservation: **Yes**

Number of children eligible for CCDF: **2,891**

Number of children served with CCDF funds in FY 2004 in an average month: **NA**

Tribe operates a child care center: **Yes**

Tribe is Head Start Grantee: **Yes**

Tribe is an Early Head Start Grantee: **Yes**

Market Rate Survey

Organization that did market rate survey: **Mississippi Band of Choctaw Indians**

Date of first survey: **Not reported**

Date of last survey: **2004**

Providers Surveyed

Data source: **Phone book and centers known to tribe**

Number of providers surveyed: **15-20**

Survey Method and Data Collected

Survey method: **Mail survey with telephone follow-up**

Data collected: **Type of care, rates, ages**

Data Analysis and Publication

Analysis: **Descriptive**

Publication: **Written report used for grants and Tribal Council**

Rate Setting

Use of market rate survey findings: **Used in setting maximum rates**

Factors used in setting rates: **Not reported**

Survey Cost

Cost not known; tribal staff carry out the survey as a part of their job

Additional Information

Crow Tribe - Montana

Description of Tribe

The Crow Reservation is in south-central Montana, bordered by Wyoming on the south, with its northwestern boundary about 10 miles from Billings.

Tribal population: **11,000**

Population of service area: Reservation: **Not reported**

Number of children eligible for CCDF: **100**

Number of children served with CCDF funds in FY 2004 in an average month: **NA**

Tribe operates a child care center: **Yes**

Tribe is Head Start Grantee: **Yes**

Tribe is an Early Head Start Grantee: **No**

Market Rate Survey

Organization that did market rate survey: **Crow Tribe**

Date of first survey: **2003**

Date of last survey: **2003**

Providers Surveyed

Data source: **List of licensed and unlicensed providers (subsidized and unsubsidized) derived from phone directory, advertisements, and providers known to Tribe**

Number of providers surveyed: **5**

Survey Method and Data Collected

Survey method: **In person interviews, left for provider to complete if not available**

Data collected: **Type of care, rates (hourly and/or daily), part-time and full-time**

Data Analysis and Publication

Analysis: **Descriptive**

Publication: **Written report of average hourly and daily rates**

Rate Setting

Use of market rate survey findings: **Used in setting rates**

Factors used in setting rates: **Not reported**

Survey Cost

Cost not known; tribal staff carry out the survey as a part of their job

Additional Information

Providers on reservation are not required to be licensed. Billings, 60 miles away, has a number of centers. Although the tribe talks with these centers, they do not base the tribal center rate on them because of differences.

Fort Peck Tribes Assiniboine & Sioux - Montana

Description of Tribe

The Fort Peck Reservation homelands are located in the northeast corner of Montana.

Tribal population: **11,786**

Population of service area: **6,000**

Reservation: **Yes**

Number of children eligible for CCDF: **3,500**

Number of children served with CCDF funds in FY 2004 in an average month: **NA**

Tribe operates a child care center: **Yes**

Tribe is Head Start Grantee: **Yes**

Tribe is an Early Head Start Grantee: **Yes**

Market Rate Survey

Organization that did market rate survey: **Fort Peck Tribes Assiniboine & Sioux**

Date of first survey: **NA**

Date of last survey: **2000**

Providers Surveyed

Data sources: **Child care resource and referral agency, tribal list of providers with whom the tribe contracts, and providers identified by tribe**

Number of providers surveyed: **150**

Comment: **Included providers who may or may not be licensed or receive subsidies.**

Survey Method and Data Collected

Survey method: **Telephone**

Data collected: **Type of care, rates, rates and openings for infants, part-time vs. full-time rates for centers only**

Data Analysis and Publication

Analysis: **Descriptive**

Publication: **Annual report with rate for each type of care**

Rate Setting

Use of market rate survey findings: **Rate setting**

Factors used in setting rates: **Subsidy demand, parents' income, tribal finding for child care**

Survey Cost

Cost not known; tribal staff carry out the survey as a part of their job

Additional Information

The tribe asks specifically about rates and openings for infants because of a lack of spaces for infants and the higher cost of infant care. The tribe reports that "all families get some subsidy".

Northern Cheyenne Tribal Council - Montana

Description of Tribe

The Northern Cheyenne Tribal Council serves south-central Montana.

Tribal population: **9,000**

Population of service area: **Not reported**

Reservation: **Yes**

Number of children eligible for CCDF: **Not reported**

Number of children served with CCDF funds in FY 2004 in an average month: **Not reported**

Tribe operates a child care center: **No**

Tribe is Head Start Grantee: **Yes**

Tribe is an Early Head Start Grantee: **No**

Market Rate Survey

Organization that did market rate survey: **Northern Cheyenne Tribal Council**

Date of first survey: **1993**

Date of last survey: **2004**

Comment: **Survey done every two years.**

Providers Surveyed

Data source: **List of centers and family child care providers licensed by the tribe and increased by providers who parents have identified.**

Number of providers surveyed: **20 - 25**

Comment: **The tribe surveys family child care providers and centers, both subsidized and unsubsidized. All of the family child care providers are licensed with the tribe.**

Survey Method and Data Collected

Survey method: **Telephone**

Data collected: **Rates, part-time vs. full-time care, before- and after-school**

Data Analysis and Publication

Analysis: **Descriptive summary using averages**

Publication: **Written report submitted to ACF Region VIII**

Comment: **The tribe completes a written report using survey results.**

Rate Setting

Use of market rate survey findings: **Rate setting**

Factors used in setting rates: **Tribal funding available, CCDF funding available**

Survey Cost

Cost not known; tribal staff carry out the survey as a part of their job

Additional Information

The tribal representative stated that the state should do the market rate survey because the tribe has little funds for it and for child care.

Mescalero Apache Tribe - New Mexico

Description of Tribe

The Mescalero Apache Tribe is located in the Sierra Blanca Mountains of southern New Mexico.

Tribal population: **4,000**

Population of service area: **4,000**

Reservation: **Yes**

Number of children eligible for CCDF: **1,240**

Number of children served with CCDF funds in FY 2004 in an average month: **40**

Tribe operates a child care center: **Yes**

Tribe is Head Start Grantee: **Yes**

Tribe is an Early Head Start Grantee: **No**

Market Rate Survey

Organization that did market rate survey: **Mescalero Apache Tribe**

Date of first survey: **2002**

Date of last survey: **2005**

Providers Surveyed

Data source: **Telephone Directory listing of centers**

Number of providers surveyed: **18**

Survey Method and Data Collected

Survey method: **Telephone**

Data collected: **Rates, part-time vs. full-time care, ages, hours of operation, if snacks are provided**

Data Analysis and Publication

Analysis: **Comparison of tribal center rates to those of other centers**

Publication: **Written report submitted to tribal president and parent committee**

Rate setting

Use of market rate survey findings: **Rate setting**

Factors used in setting rates: **Input from Tribal Council and parent committee**

Survey Cost

Cost not known; tribal staff carry out the survey as a part of their job

Additional Information

The tribal representative stated that they use the market rate survey data for the CCDF and for their own information to determine rates.

Pueblo of Acoma - New Mexico

Description of Tribe

“The Pueblo of Acoma sits atop a mesa and the land is known for its beauty. Also known as "Sky City", Acoma is a Native American pueblo built on top of a 367-foot (112 m) sandstone mesa in the state of New Mexico.”

Tribal population: **6,000**

Population of service area: **6,000**

Reservation: **Yes**

Number of children eligible for CCDF: **2,000**

Number of children served with CCDF funds in FY 2004 in an average month: **25**

Tribe operates a child care center: **No**

Tribe is Head Start Grantee: **Yes**

Tribe is an Early Head Start Grantee: **No**

Market Rate Survey

Organization that did market rate survey: **Pueblo of Acoma**

Date of first survey: **2002**

Date of last survey: **2002**

Providers Surveyed

Data source: **Telephone directory listing of centers**

Number of providers surveyed: **10**

Survey Method and Data Collected

Survey method: **Mail with telephone follow-up if needed**

Data collected: **Rates, part-time vs. full-time care**

Data Analysis and Publication

Analysis: **Data used for comparison with tribal center rates**

Publication: **No**

Rate Setting

Use of market rate survey findings: **Center Rate Setting**

Factors used in setting rates: **Families' income and number of people in the household**

Survey Cost

Cost not known; tribal staff carry out the survey as a part of their job

Additional Information

The tribal representative stated that the survey is used to find the average charged in other centers and the tribe makes sure they charge less than the average found.

Pueblo of Laguna - New Mexico

Description of Tribe

The Pueblo of Laguna comprises six major villages with its political center at Laguna.

Tribal population: **8,000**

Population of service area: **4,500**

Reservation: **Yes**

Number of children eligible for CCDF: **1,215**

Number of children served with CCDF funds in FY 2004 in an average month: **50**

Tribe operates a child care center: **Yes**

Tribe is Head Start Grantee: **Yes**

Tribe is an Early Head Start Grantee: **Yes**

Market Rate Survey

Organization that did market rate survey: **Pueblo of Laguna**

Date of first survey: **1992**

Date of last survey: **2005**

Providers Surveyed

Data source: **List of centers and family child care providers created from newspaper or providers recruited from flyers in Post Office, or those who had attended tribal training**

Number of providers surveyed: **Not reported**

Comment: **The family child care providers are all on the reservation, certified by the tribe, and unsubsidized. The centers are from a community far from the reservation.**

Survey Method and Data Collected

Survey method: **Mail, telephone follow-up for non-respondents**

Data collected: **Rates, ages, part-time vs full-time care, drop-in, training, certification**

Data Analysis and Publication

Analysis: **Descriptives including ranges, comparisons**

Publication: **Report**

Rate Setting

Use of market rate survey findings: **Rate Setting**

Factors used in setting rates: **Tribal funding**

Survey Cost

Cost not known; tribal staff carry out the survey as a part of their job

Additional Information

Programs on reservations are very different between tribes and they only have 0-5 year-olds in their center. They charge \$2.50 per hour if the family is not on a sliding scale fee. They do not want to put family child care providers out of business when setting rates.

Pueblo of San Felipe - New Mexico

Description of Tribe

The Pueblo of San Felipe is twenty-five miles north of Albuquerque and 30 miles south of Santa Fe.

Tribal population: **3,500**

Population of service area: **3,500**

Reservation: **Yes**

Number of children eligible for CCDF: **950**

Number of children served with CCDF funds in FY 2004 in an average month: **65**

Tribe operates a child care center: **No, Family Home Child Care Services**

Tribe is Head Start Grantee: **Yes**

Tribe is an Early Head Start Grantee: **No**

Market Rate Survey

Organization that did market rate survey: **Pueblo of San Felipe**

Date of first survey: **2002**

Date of last survey: **2005**

Comment: **Pueblo of San Felipe conducts market rate surveys every two years.**

Providers Surveyed

Data source: **Tribes list of providers certified for subsidies and of homes who had home inspection**

Number of providers surveyed: **60-80**

Comment: **List includes centers and family child care providers, both subsidized and unsubsidized.**

Survey Method and Data Collected

Survey method: **Mail with follow-up home visits for those who do not return survey**

Data collected: **Type of care, rates, part-time vs full-time care**

Data Analysis and Publication

Analysis: **Descriptive averages**

Publication: **Written report**

Rate Setting

Use of market rate survey findings: **Rate setting**

Factors used in setting rates: **Overall tribal budget, tribal child care budget**

Survey Cost

Cost not known; tribal staff carry out the survey as a part of their job. The administration employee aids in the completion of the report.

Additional Information

Budgets only affect the rates because funding has decreased. They do the Market Rate Survey because it is required.

Spirit Lake Sioux Tribe - North Dakota

Description of Tribe

The Spirit Lake Sioux Tribe is located in North Central North Dakota.

Tribal population: **5,000**

Population of service area: **7,000**

Reservation: **Yes**

Number of children eligible for CCDF: **3,000**

Number of children served with CCDF funds in FY 2004 in an average month: **151**

Tribe operates a child care center: **Yes**

Tribe is Head Start Grantee: **Yes**

Tribe is Early Head Start Grantee: **Yes**

Market Rate Survey

Organization that did market rate survey: **Spirit Lake Sioux Tribe**

Date of first survey: **2003**

Date of last survey: **2005**

Providers Surveyed

Data source: **List of providers certified by tribe, including family child care providers and centers, subsidized and unsubsidized.**

Number of providers surveyed: **Not reported**

Survey Method and Data Collected

Survey method: **Telephone with follow-up home visits to non-respondents.**

Data collected: **Type of care, rates, part-time vs full-time**

Data Analysis and Publication

Analysis: **Descriptive**

Publication: **Written report**

Rate Setting

Use of market rate survey findings: **Rate setting**

Factors used in setting rates: **State subsidy, tribal child care budget**

Survey Cost

Cost not known; tribal staff carry out the survey as a part of their job. The administration employee aids in the completion of the report.

Additional Information

The tribal representative stated that they are in a small community.

Choctaw Nation of Oklahoma - Oklahoma

Description of Tribe

Located in Southeastern part of the state, the Choctaw Nation of Oklahoma is comprised of ten and a half counties with no reservation.

Tribal population: **150,000**

Population of service area: Reservation: **Not reported**

Number of children eligible for CCDF: **23,000**

Number of children served with CCDF in FY2004 in an average month: **800**

Tribe operates a child care center: **Yes**

Tribe is Head Start Grantee: **Yes**

Tribe is Early Head Start Grantee: **No**

Market Rate Survey

Organization that did market rate survey: **Choctaw Nation of Oklahoma**

Date of first survey: **2000**

Date of last survey: **2004**

Providers Surveyed

Data source: **List of centers and family child care providers licensed and subsidized by the tribe.**

Number of providers surveyed: **150**

Comment: **The tribe surveys licensed and subsidized family child care providers and centers. The sample of about 150 providers was created from a list of providers the tribe maintains.**

Survey Method and Data Collected

Survey method: **Mail**

Data collected: **Rates, part-time vs full-time, ages**

Data Analysis and Publication

Analysis: **Not reported**

Publication: **Not reported**

Rate Setting

Use of market rate survey findings: **Not reported**

Factors used in setting rates: **Current subsidy payments, state maximum rates**

Comment: **Choctaw Nation of Oklahoma considers subsidy amounts and the state rate in rate setting.**

Survey Cost

Cost not known; tribal staff carry out the survey as a part of their job. The administration employee aids in the completion of the report.

Additional Information

The respondent reported that providers usually charge what DHS pays.

Kaw Nation of Oklahoma - Oklahoma

Description of Tribe

“Known as "the wind people," the Kaw originally lived along the lower Kansas River in villages consisting of conical-shaped lodges. The Kaw Nation is located in northern Oklahoma.”

Tribal population: **2,800**

Population of service area: **Not reported**

Reservation: **Yes**

Number of children eligible for CCDF: **Not reported**

Number of children served with CCDF in FY2004 in an average month: **15**

Tribe operates a child care center: **Yes**

Tribe is Head Start Grantee: **No**

Tribe is Early Head Start Grantee: **No**

Market Rate Survey

Organization that did market rate survey: **Kaw Nation of Oklahoma**

Date of first survey: **2003**

Date of last survey: **2005**

Providers Surveyed

Data source: **Providers who receive child care subsidies and are listed with child care resource and referral agency**

Number of providers surveyed: **Not reported**

Survey Method and Data Collected

Survey method: **Telephone**

Data collected: **Weekly and hourly rates, part-time vs full-time care, after-school rates, ages**

Data Analysis and Publication

Analysis: **Description of average weekly and hourly rates**

Publication: **Report submitted with CCDF plan every two years.**

Rate Setting

Use of market rate survey findings: **Not used in setting rates**

Factors used in setting rates: **Children’s needs, current subsidy rate**

Survey Cost

Cost not known; tribal staff carry out the survey as a part of their job. The administration employee aids in the completion of the report.

Additional Information

Flandreau Santee Sioux Tribe - South Dakota

Description of Tribe

Flandreau Santee Sioux Tribe, located in Moody County South Dakota, come from the easternmost division of the Sioux Nation.

Tribal population: **750**

Population of service area: **6,000**

Reservation: **Yes**

Number of children eligible for CCDF: **600**

Number of children served with CCDF in FY2004 in an average month: **24**

Tribe operates a child care center: **No**

Tribe is Head Start Grantee: **No**

Tribe is Early Head Start Grantee: **No**

Market Rate Survey

Organization that did market rate survey: **Flandreau Santee Sioux Tribe**

Date of first survey: **1998**

Date of last survey: **2003**

Providers Surveyed

Data source: **Licensed or certified centers and family child care providers known to the Tribe, includes those who do and do not receive subsidies**

Number of providers surveyed: **13-14**

Survey Method and Data Collected

Survey method: **Telephone calls**

Data collected: **Type of care, hours, rates, ages**

Data Analysis and Publication

Analysis: **Descriptive**

Publication: **Report to Tribal Council and part of the tribe's child care plan**

Rate Setting

Use of market rate survey findings: **Rate setting**

Factors used in setting rates: **Market rate survey**

Survey Cost

Cost not known; tribal staff carry out the survey as a part of their job. The administration employee aids in the completion of the report.

Additional Information

Oglala Sioux Tribe - South Dakota

Description of Tribe

The Oglala Sioux Tribe, located in Pine Ridge, South Dakota, is part of the Great Sioux Nation of the Titowan Division.

Tribal population: **42,000**
Population of service area: **42,000**
Reservation: **Yes**
Number of children eligible for CCDF: **17,000**
Number of children served with CCDF in FY2004 in an average month: **187**
Tribe operates a child care center: **Yes**
Tribe is Head Start Grantee: **Yes**
Tribe is Early Head Start Grantee: **Yes**

Market Rate Survey

Organization that did market rate survey: **Oglala Sioux Tribe**
Date of first survey: **Not reported**
Date of last survey: **2003**

Providers Surveyed

Data Source: **List of family child care providers, those who do and do not receive subsidies, maintained by the Tribe. The tribe advertises market rate study on weekly radio show.**
Number of providers surveyed: **Not reported**

Survey Method and Data Collected

Survey method: **In-person interviews done by mentor staff in provider homes**
Data collected: **Rates**

Data Analysis and Publication

Analysis: **Exclude providers who receive subsidies and that are regulated by the tribe.**
Publication: **Written report to tribal council**

Rate Setting

Use of market rate survey findings: **Rates of unsubsidized providers used in rate setting**
Factors used in setting rates: **What parents say they can pay**

Survey Cost

Cost not known; tribal staff carry out the survey as part of their job.

Additional Information

The tribe does not ask about part-time vs. full-time care because all of the providers have hourly rates. The tribal child care center charges between \$1.00 and \$1.85 per hour, per child. The tribe's goal is that "our rate to be equal to the going rate".

Yankton Sioux Tribe - South Dakota

Description of Tribe

The Yankton Sioux Tribe, located in Marty, South Dakota, are known as “The friendly people”.

Tribal population: **8,000**

Population of service area: **3,500**

Reservation: **Yes**

Number of children eligible for CCDF: **107**

Number of children served with CCDF in FY2004 in an average month: **107**

Tribe operates a child care center: **Yes**

Tribe is Head Start Grantee: **Yes**

Tribe is Early Head Start Grantee: **No**

Market Rate Survey

Organization that did market rate survey: **Yankton Sioux Tribe**

Date of first survey: **1999**

Date of last survey: **2003**

Providers Surveyed

Data source: **List of licensed centers and family child care providers registered with the tribe**

Number of providers surveyed: **Not reported**

Comment: **The administration employee aids in the completion of the report.**

Survey Method and Data Collected

Survey method: **Phone Survey with follow-up for non-respondents of in-person interviews**

Data collected: **Type of care, rates, part-time vs full-time care, ages**

Data Analysis and Publication

Analysis: **Descriptive**

Publication: **Written report**

Rate Setting

Use of market rate survey findings: **Rate setting**

Factors used in setting rates: **Market rate survey findings**

Survey Cost

Cost not known; tribal staff carry out the survey as a part of their job.

Additional Information

Tribe does not know whether or not surveyed providers received child care subsidy payments.

Alabama-Coushatta Tribe of Texas - Texas

*Description of
Tribe*

“Nestled deep in the Big Thicket of East Texas lies Texas' oldest Indian Reservation, home of the Alabama-Coushatta Tribe of Texas is near Livingston, Texas in Polk County.”

Tribal population: **500**
 Population of service area: **Not reported**
 Reservation: **Yes**
 Number of children eligible for CCDF: **50**
 Number of children served with CCDF in FY2004 in an average month: **50**
 Tribe operates a child care center: **Yes**
 Tribe is Head Start Grantee: **Yes**
 Tribe is Early Head Start Grantee: **Yes**

*Market Rate
Survey*

Organization that did market rate survey: **Alabama-Coushatta Tribe of Texas**
 Date of first survey: **1992**
 Date of last survey: **2004**

*Providers
Surveyed*

Data source: **List of centers created by tribe from phone book and centers of which they were aware**
 Number of providers surveyed: **Not reported**

*Survey Method
and Data
Collected*

Survey method: **Phone survey with follow-up of in-person interviews for non-respondents**
 Data collected: **Type of care, rates, part-time vs full-time care**

*Data Analysis
and Publication*

Analysis: **Descriptive**
 Publication: **Written report submitted to the Child Care Bureau**

Rate Setting

Use of market rate survey findings: **Rate setting**
 Factors used in setting rates: **Budget overall, demand for subsidies, level of CCDF funding to the tribe, parents' income**

Survey Cost

Cost not known; tribal staff carry out the survey as a part of their job. The administration employee aids in the completion of the report.

*Additional
Information*

The tribal representative stated that tribal programs differ from those operated by states. They wanted “to show that child care is needed and accessible” to their low-income families.

Kalispel Tribe of Indians - Washington

Description of Tribe

"The Kalispel Tribe of Indians has always recognized the importance of educating its members as well as the general community. The Kalispel Indian Reservation is located approximately 55 miles north of Spokane in Pend Oreille County."

Tribal Population: **385**

Population of service area: **Not reported**

Reservation: **Yes**

Number of children eligible for CCDF: **46**

Number of children served with CCDF funds in FY 2004 in an average month: **20**

Tribe operates a child care center: **Yes**

Tribe is Head Start Grantee: **No**

Tribe is an Early Head Start Grantee: **No**

Date of first market rate survey: **1993**

Date of last market rate survey: **2004**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey: **Lead Agency**
 Created survey questions: **Lead Agency**
 Assisted facilities to complete survey: **Lead Agency**
 Collected price data from facilities: **Lead Agency**
 Cleaned the collected data: **Lead Agency**
 Analyzed price data: **Lead Agency**
 Interpreted findings: **Lead Agency**
 Wrote reports on findings: **Lead Agency**
 Published results: **Task not done**

Comments: We complete our own, but our area is small.

Facilities Surveyed

Database used to identify providers:

Facilities regulated by child care licensing agency: **No**

Facilities receiving child care subsidies: **No**

Facilities enrolled with R&R agency: **No**

Other: **Phone book**

<i>Facilities included in the dataset</i>	Included	Number	Type of sample
Licensed facilities	Some	4	Random
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	No		
After-school education (non-licensed)	No		
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	Yes, some	2	Random
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	No		
TOTAL facilities in final dataset		6	

Data Collection

Method(s): **Phone**

Strategies to encourage facilities to participate:

None reported

Number of facilities tried to reach: **0**

of facilities who refused to participate: **0**

of facilities dropped from the sample: **0**

of facilities who completed the survey: **4**

Date data collection was completed: **6/29/2005**

Time needed for a single facility to give information: **One hour**

How facilities reported price information: **Actual rates in whatever mode they charge**

Asked about the # of children in care that receive subsidy: **Yes**

Data Analysis

Facilities excluded from analysis: **Head Start programs, State-funded pre-kindergarten programs, Migrant Head Start, State contracted centers**

Unit of analysis: **Slots; Licensed capacity for children by age group; Actual current enrollment of children served by age group**

Were prices converted to some standard mode such as to monthly or hourly price? **No**

Rate Setting

Date current payment rates were set: **1/01/2000**
 Rates adjusted based on recent survey: **No**
 Are payment rates set at or above the 75th percentile of prices? **No**

Important factors in setting current rates:

- 1 – **Desire to maximize the number of families with access to subsidies**
- 2 – **Keep rates affordable for parents**
- 3 –

Total Cost of Market Rate Survey: \$100

Lead Agency: **\$100**
 Other agency:
 State R&R Network:
 University/ College:
 Research or survey firm:
 Other contractor:

Report is Available for Download at: Not available

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	No	No
Zip-Code	No	No
Region	No	No
Tribe	No	No
Other geographic area	-	No
Age Group		
Infant care	Yes	Yes
Toddler care	Yes	Yes
Preschool-age care	Yes	Yes
School-age care	Yes	Yes
Type of Care		
Center	Yes	Yes
Family	No	No
Group home	No	No
In-home	No	No
Family, friends, neighbors	No	No
School-age centers	Yes	Yes
School-age enrichment activities	No	No
Special needs care	This project did not ask this question on the questionnaire.	No
Pricing Mode		
Hourly	Yes	This project did not ask this question on the questionnaire.
Daily	No	
Weekly	No	
Monthly	No	

Port Gamble S'Klallam - Washington

Description of Tribe

"The Port Gamble S'Klallam Reservation is located on the northern tip of the Kitsap Peninsula in Washington State. It is situated on Port Gamble Bay, for generations an important natural resource for Native Americans."

Tribal Population: **1,000**

Population of service area: **1,000**

Reservation: **Yes**

Number of children eligible for CCDF: **295**

Number of children served with CCDF funds in FY

2004 in an average month: **Not reported**

Tribe operates a child care center: **Yes**

Tribe is Head Start Grantee: **Yes**

Tribe is an Early Head Start Grantee: **Yes**

Date of first market rate survey: **Not reported**

Date of last market rate survey: **2003**

Organization with Primary Responsibility for Following Tasks

Determined which facilities to survey:	Lead Agency
Created survey questions:	Task not done
Assisted facilities to complete survey:	Task not done
Collected price data from facilities:	Lead Agency
Cleaned the collected data:	Task not done
Analyzed price data:	Task not done
Interpreted findings:	Lead Agency
Wrote reports on findings:	Lead Agency
Published results:	Lead Agency

Facilities Surveyed

Database used to identify providers:

Facilities regulated by child care licensing agency: **No**

Facilities receiving child care subsidies: **No**

Facilities enrolled with R&R agency: **Yes**

Other: **Phone book listings**

<i>Facilities included in the dataset</i>	Included	Number	Type of sample
Licensed facilities	No		
Family child care facilities who are legally exempt from licensing	No		
Family, friends, or neighbors who are legally exempt from licensing	No		
Centers that are legally exempt from licensing	Yes	Unknown	
After-school education (non-licensed)	Yes	1	Total
Providers located on military facilities	No		
Facilities located on tribal reservations or in tribal service areas and licensed by the STATE.	Yes, some	1	Total
Facilities located on tribal reservations or in tribal service areas and licensed by the TRIBE.	Yes, some	1	Total
TOTAL facilities in final dataset		3+	

Data Collection

Method(s): **Phone**

Strategies to encourage facilities to participate: **None reported**

Number of facilities tried to reach: **None reported**

of facilities who refused to participate: **None reported**

of facilities dropped from the sample: **None reported**

of facilities who completed the survey: **None reported**

Date data collection was completed: **Not reported**

Time needed for a single facility to give information: **16-20 minutes**

How facilities reported price information: **Into pre-determined modes provided on the survey**

Asked about the # of children in care that receive subsidy: **No**

Data Analysis

Facilities excluded from analysis:

Unit of analysis: **Facility**

Were prices converted to some standard mode such as to monthly or hourly price? **No**

Rate Setting

Date current payment rates were set: **1/01/1990**

Rates adjusted based on recent survey: **No**

Are payment rates set at or above the 75th percentile of prices? **No**

Important factors in setting current rates:

1 – **Level of federal CCDF funding**

2 – **Demand for subsidies**

3 –

Total Cost of Market Rate Survey: \$440

Lead Agency: **\$358**

Other agency: **\$82**

State R&R Network:

University/College:

Research or survey firm:

Other contractor:

Report is Available for Download at: **Not available**

	Ways Price Data was Analyzed	Current Rates Set By
Geography		
County	Yes	Yes
Zip-Code	No	-
Region	No	-
Tribe	No	-
Other geographic area	-	-
Age Group		
Infant care	Yes	-
Toddler care	Yes	-
Preschool-age care	Yes	-
School-age care	Yes	-
Type of Care		
Center	Yes	-
Family	-	-
Group home	-	-
In-home	-	-
Family, friends, neighbors	-	No
School-age centers	-	-
School-age enrichment activities	-	-
Special needs care	This project did not ask this question on the questionnaire.	-
Pricing Mode		
Hourly	Yes	This project did not ask this question on the questionnaire.
Daily	Yes	
Weekly	Yes	
Monthly	Yes	

Quileute Tribal School - Washington

Description of Tribe

“The Quileute Tribal School is located in La Push, a small Indian village located on the western border of the Olympic Peninsula. A stone's throw to the Pacific Ocean, the Quillayute River, and the Olympic National Park.”

Tribal population: **600**
Population of service area: **Not reported**
Reservation: **Yes**
Number of children eligible for CCDF: **40**
Number of children served with CCDF in FY2004 in an average month: **20**
Tribe operates a child care center: **Yes**
Tribe is Head Start Grantee: **Yes**
Tribe is Early Head Start Grantee: **No**

Market Rate Survey

Organization that did market rate survey: **Quileute Tribal School**
Date of first survey: **Not reported**
Date of last survey: **2003**

Providers Surveyed

Data source: **Known child care centers in small community 17 miles away**
Number of providers surveyed: **2**

Survey Method and Data Collected

Survey method: **Phone calls**
Data collected: **Rates, ages, part-time vs full-time care**

Data Analysis and Publication

Analysis: **Descriptive**
Publication: **Written report submitted to the state**

Rate Setting

Use of market rate survey findings: **Rate setting**
Factors used in setting rates: **Tribal child care budget, parents' income**

Survey Cost

Cost not known; tribal staff carry out the survey as a part of their job. The administration employee aids in the completion of the report

Additional Information

The tribal representative stated that they already know what the rates are because the state sends them to the tribe annually. Tribal center has a sliding fee scale in which parents never pay more than 10% of family income for care.

Red Cliff Band of Lake Superior Chippewas - Wisconsin

Description of Tribe

“Located in Bayfield in Northern Wisconsin on the shore of Lake Superior are the Red Cliff Band of Lake Superior Chippewas.”

Tribal population: **2,000**
 Population of service area: **900**
 Reservation: **Yes**
 Number of children eligible for CCDF: **412**
 Number of children served with CCDF in FY2004 in an average month: **13**
 Tribe operates a child care center: **No**
 Tribe is Head Start Grantee: **Yes**
 Tribe is Early Head Start Grantee: **Yes**

Market Rate Survey

Organization that did market rate survey: **Red Cliff Band of Lake Superior Chippewas**
 Date of first survey: **1997**
 Date of last survey: **2004**

Providers Surveyed

Data source: **List of centers and licensed family child care providers obtained from state and increased by providers who contacted the tribe directly**
 Number of providers surveyed: **Not reported**

Survey Method and Data Collected

Survey method: **Written surveys**
 Data collected: **Type of care, weekly and hourly rates, rates charged for all families**

Data Analysis and Publication

Analysis: **The tribe collects data and sends data to the state for analysis.**
 Publication: **The state completes a written report and sends it to the tribe**

Rate Setting

Use of market rate survey findings: **Rate setting**
 Factors used in setting rates: **Parent and provider concerns about rates**

Survey Cost

Cost not known; tribal staff carry out the survey as a part of their job. The administration employee aids in the completion of the report.

Additional Information

The goal of the market rate survey is to “estimate the most accurate rate for each county and tribe to be used to reimburse day care for low to moderate income families.” None of the providers charge less than the subsidy and there is a maximum that the providers can charge.

Sokaogon Chippewa Community Mole Lake Band - Wisconsin

Description of Tribe

“Located in Crandon, Wisconsin the Sokaogon Chippewa Community Mole Lake Band has one of the last remaining ancient wild rice beds in the State of Wisconsin.”

Tribal population: **1,200**
 Population of service area: **9,000**
 Tribal population: **1,200**
 Reservation: **Yes**
 Number of children eligible for CCDF: **Not reported**
 Number of children served with CCDF in FY2004 in an average month: **20**
 Tribe operates a child care center: **No**
 Tribe is Head Start Grantee: **No**
 Tribe is Early Head Start Grantee: **No**

Market Rate Survey

Organization that did market rate survey: **Sokaogon Chippewa Community Mole Lake Band**
 Date of first survey: **Not reported**
 Date of last survey: **2004**

Providers Surveyed

Data source: **Tribal list of centers and licensed family child care providers (subsidized and unsubsidized) used by families**
 Number of providers surveyed: **20**

Survey Method and Data Collected

Survey method: **Annual phone calls**
 Data collected: **Rates, ages put into state form**

Data Analysis and Publication

Analysis: **Done by state**
 Publication: **On state web site**

Rate Setting

Use of market rate survey findings: **Rate setting**
 Factors used in setting rates: **Not reported**

Survey Cost

Cost not known; tribal staff carry out the survey as a part of their job. The administration employee aids in the completion of the report.

Additional Information

*For additional copies of this brief, contact Oregon Child Care Research Partnership,
OSU Family Policy Program, Bates Hall Rm 219, Corvallis, Oregon 97331-5151.*

Telephone: (541) 737-9243

Facsimile: (541) 737-5579

Email: bobbie.weber@oregonstate.edu

Or download a copy from:

<http://www.hhs.oregonstate.edu/familypolicy/occrp>