



# Factors influencing the outcome of human intervention trials

A case study using studies examining the effects of whole grain consumption on blood pressure in humans

Alexandra Meynier

Jan de Vries

On behalf of Healthgrain Forum, task force Health Benefits

# Study design factors to pharmaceutical studies principles

Epidemiological studies as hypothesis generator for human intervention studies

## Hypothesis

Background of hypothesis

Healthy, at risk, ...

Age, anthropometric charac.

Background diet

Inclusion / exclusion criteria

## Individuals

Randomization, methods

Parallel or cross-over, controlled or not

Run in, background diet

Duration

Number or samples

Moment of sampling

## Study design

Proper intervention

Proper control

Characterization of intervention

Use of intervention

Compliance to intervention

## Intervention

Relation ship to hypothesis

Methodology for measurement

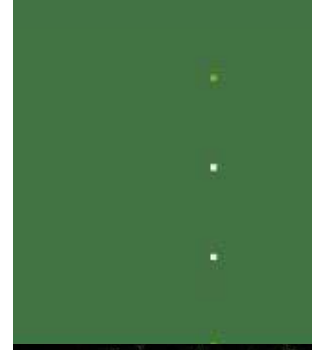
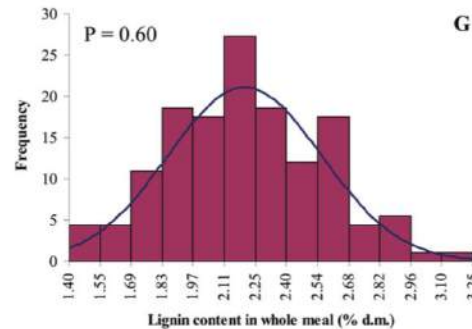
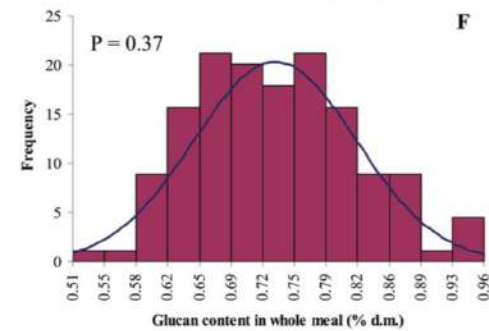
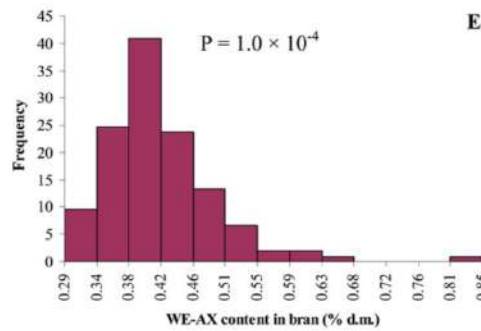
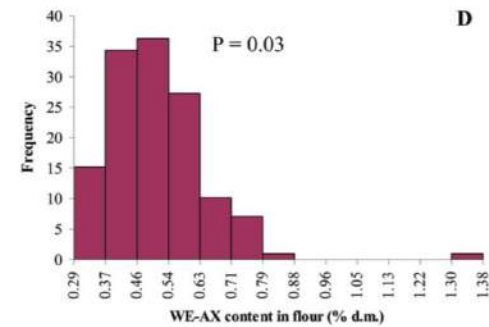
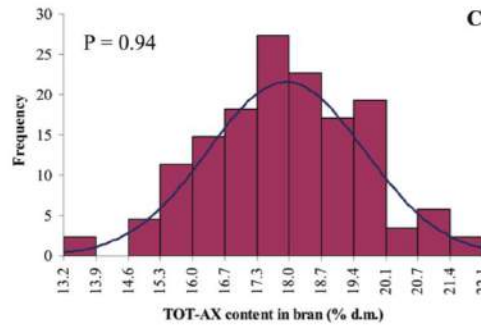
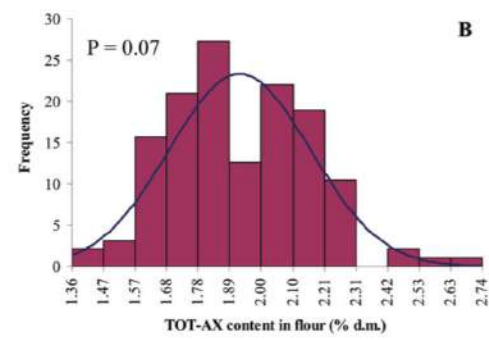
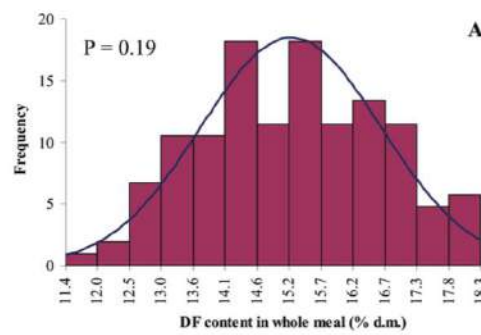
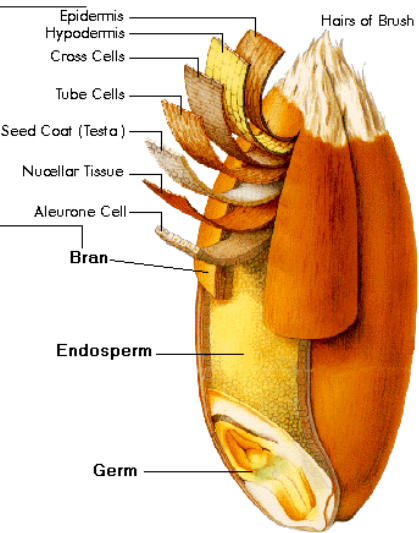
Variability of measurement

Variability in individuals

Is parameter appropriate?

## Parameters

## Outcomes



ses:  
(yeast, bacteria)



**Figure 1.** Frequency histograms (bars) for contents of DF in whole meal (A), TOT-AX in flour (B), and bran (C), WE-AX in flour (D) and bran (E),  $\beta$ -glucan in whole meal (F), and lignin in whole meal (G) from winter wheats. For the normally distributed data (Anderson–Darling  $P$  value  $> 0.05$ ) also the corresponding normal distribution curves are shown.

# Analytical method used for the first analysis

- **A Multiple Linear Regression analysis has been applied to the data in order to evaluate and quantify the impact of study design factors on blood pressure results**

## Study design :

- parallel/crossover
- intervention duration

## Population characteristics :

- Number
- Gender
- health status
- Age
- BMI

Delta end-baseline  
of systolic /diastolic  
blood pressure

## Wholegrain characteristics :

- type de grain(s)
- dose (fiber content was considered)

Baseline value of  
diastolic and systolic  
Blood Pressure

# Variability of participants

| Physiological characteristics | # studies | Average age (y) | DBP t=0 (mmHg) | SBP t=0 (mmHg) | BMI (kg/m <sup>2</sup> ) | Use medication | Study design     |
|-------------------------------|-----------|-----------------|----------------|----------------|--------------------------|----------------|------------------|
| Healthy                       | 5         | 26-59           | 65-81          | 109-130        | 21,6-30,3                | 0              | CO/nb, PAR/nb-db |
| Hypertension                  | 3         | 45-63           | 83-93          | 135-140        | 28,8-32.6                | 2              | PAR nb-db        |
| Overweight/obese              | 2         | 57-61           | 84-87          | 132-139        | 29,2-30,4                | 1              | PAR/nb-sb)       |
| Type 2 diabetes               | 1         | 63              | 77-81          | 131-137        | 26,7                     | 1              | Single arm       |
| Metabolic syndr               | 1         | 50              | 85-86          | 129            | 25,4-25,9                | 0              | PAR/nb           |
| Variable                      | 1         | 52              | 76-79          | 126-132        | 27-28                    | 0              | PAR/sb           |



# Variability of interventions

- Combination of whole grain products (probably mostly wheat) (n=2)
- Oat meal and oat squares and other types of products (n=6)
- Whole wheat bread (n=2)
- Whole wheat + brown rice + barley
- Brown rice
- Not described in detail (could be wheat or rye!)
- *Levels of intervention expressed as gram dietary fiber (varies between 2,6-19 g/d)*



# Gender

- Both sexes (n=11)
- Female (n= 1)
- Male (n=1)



# Multiple Linear Regression (SBP)

| Terme  | Estimation des coefficients codés |  | Erreur standard | t ratio | Prob. >  t |
|--|-----------------------------------|--|-----------------|---------|------------|
| Constante  | -4,974134                         |  | 1,420697        | -3,50   | 0,0044 *   |
| Gender[Both sexes]   | 1,6876969                         |  | 1,507204        | 1,12    | 0,2847     |
| Gender[Female]   | 1,5174493                         |  | 1,453157        | 1,04    | 0,3170     |
| Gender[Male]   | -3,205146                         |  | 2,317957        | -1,38   | 0,1919     |
| healthy vs at risk[0]  | 1,2446289                         |  | 0,725282        | 1,72    | 0,1118     |
| healthy vs at risk[1]  | -1,244629                         |  | 0,725282        | -1,72   | 0,1118     |
| ControlORinterventions[Control]                                  | -1,60826                          |  | 1,726527        | -0,93   | 0,3700     |
| ControlORinterventions[Intervention]                             | 1,6082596                         |  | 1,726527        | 0,93    | 0,3700     |
| Age  | 0,6582045                         |  | 0,80709         | 0,82    | 0,4307     |
| BMI  | -0,099693                         |  | 0,907588        | -0,11   | 0,9143     |
| Gender[Both sexes]*ControlORinterventions[Control]               | 3,0470732                         |  | 1,842209        | 1,65    | 0,1240     |
| Gender[Both sexes]*ControlORinterventions[Intervention]          | -3,047073                         |  | 1,842209        | -1,65   | 0,1240     |
| Gender[Female]*ControlORinterventions[Control]                   | 0                                 |  | 0               | 0,00    | 1,0000     |
| Gender[Female]*ControlORinterventions[Intervention]              | 0                                 |  | 0               | 0,00    | 1,0000     |
| Gender[Male]*ControlORinterventions[Control]                     | -3,047073                         |  | 1,842209        | -1,65   | 0,1240     |
| Gender[Male]*ControlORinterventions[Intervention]                | 3,0470732                         |  | 1,842209        | 1,65    | 0,1240     |
| healthy vs at risk[0]*ControlORinterventions[Control]            | -0,036627                         |  | 0,725282        | -0,05   | 0,9606     |
| healthy vs at risk[0]*ControlORinterventions[Intervention]       | 0,036627                          |  | 0,725282        | 0,05    | 0,9606     |
| healthy vs at risk[1]*ControlORinterventions[Control]            | 0,036627                          |  | 0,725282        | 0,05    | 0,9606     |
| healthy vs at risk[1]*ControlORinterventions[Intervention]       | -0,036627                         |  | 0,725282        | -0,05   | 0,9606     |
| ControlORinterventions[Control]*(Age-51,772)                     | -1,957865                         |  | 0,80709         | -2,43   | 0,0320 *   |
| ControlORinterventions[Intervention]*(Age-51,772)                | 1,9578653                         |  | 0,80709         | 2,43    | 0,0320 *   |
| ControlORinterventions[Control]*(BMI-28,636)                     | 0,9372794                         |  | 0,907588        | 1,03    | 0,3221     |
| ControlORinterventions[Intervention]*(BMI-28,636)                | -0,937279                         |  | 0,907588        | -1,03   | 0,3221     |
| Systolic Baseline  | 1,3456074                         |  | 1,088793        | 1,24    | 0,2402     |
| ControlORinterventions[Control]*(Systolic Baseline-129,408)      | 0,3066323                         |  | 1,088793        | 0,28    | 0,7830     |
| ControlORinterventions[Intervention]*(Systolic Baseline-129,408) | -0,306632                         |  | 1,088793        | -0,28   | 0,7830     |



# Multiple Linear Regression (DBP)

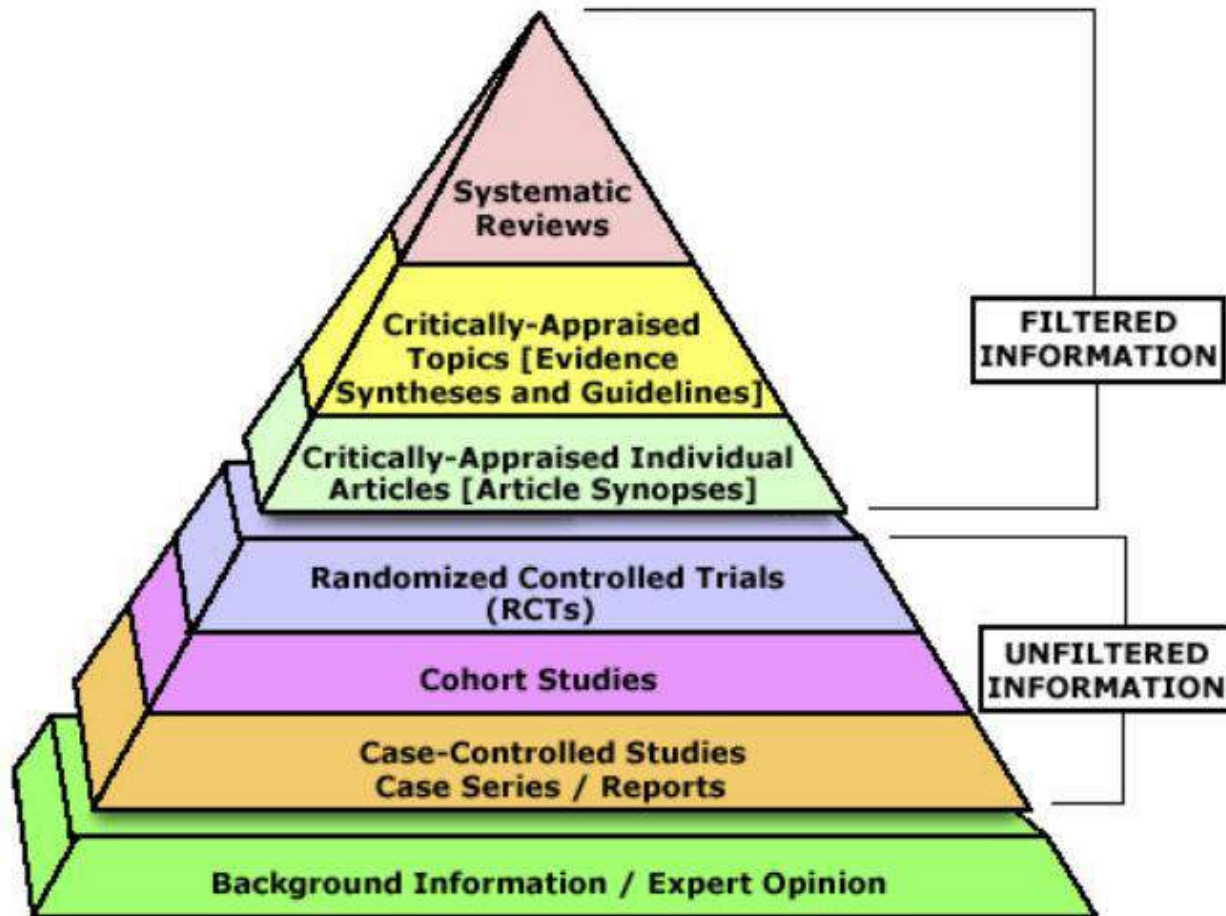
| Terme  | Estimation des coefficients codés |  | Erreur standard | t ratio | Prob. >  t |
|--|-----------------------------------|--|-----------------|---------|------------|
| Constante  | -2,620365                         |  | 1,090203        | -2,40   | 0,0333 *   |
| Gender[Both sexes]   | 0,4318079                         |  | 1,156732        | 0,37    | 0,7154     |
| Gender[Female]   | -1,10876                          |  | 1,179466        | -0,94   | 0,3657     |
| Gender[Male]   | 0,6769519                         |  | 1,839252        | 0,37    | 0,7192     |
| healthy vs at risk[0]  | 0,6348205                         |  | 0,485325        | 1,31    | 0,2154     |
| healthy vs at risk[1]  | -0,634821                         |  | 0,485325        | -1,31   | 0,2154     |
| ControlORinterventions[Control]                                  | -0,558862                         |  | 1,334854        | -0,42   | 0,6829     |
| ControlORinterventions[Intervention]                             | 0,5588619                         |  | 1,334854        | 0,42    | 0,6829     |
| Age  | 1,3425952                         |  | 0,59839         | 2,24    | 0,0445 *   |
| BMI  | 0,5998506                         |  | 0,644469        | 0,93    | 0,3703     |
| Diastolic Baseline   | 1,1336687                         |  | 0,733062        | 1,55    | 0,1479     |
| Gender[Both sexes]*ControlORinterventions[Control]               | 1,5106447                         |  | 1,440657        | 1,05    | 0,3150     |
| Gender[Both sexes]*ControlORinterventions[Intervention]          | -1,510645                         |  | 1,440657        | -1,05   | 0,3150     |
| Gender[Female]*ControlORinterventions[Control]                   | 0                                 |  | 0               | 0,00    | 1,0000     |
| Gender[Female]*ControlORinterventions[Intervention]              | 0                                 |  | 0               | 0,00    | 1,0000     |
| Gender[Male]*ControlORinterventions[Control]                     | -1,510645                         |  | 1,440657        | -1,05   | 0,3150     |
| Gender[Male]*ControlORinterventions[Intervention]                | 1,5106447                         |  | 1,440657        | 1,05    | 0,3150     |
| healthy vs at risk[0]*ControlORinterventions[Control]            | 0,127623                          |  | 0,485325        | 0,26    | 0,7970     |
| healthy vs at risk[0]*ControlORinterventions[Intervention]       | -0,127623                         |  | 0,485325        | -0,26   | 0,7970     |
| healthy vs at risk[1]*ControlORinterventions[Control]            | -0,127623                         |  | 0,485325        | -0,26   | 0,7970     |
| healthy vs at risk[1]*ControlORinterventions[Intervention]       | 0,127623                          |  | 0,485325        | 0,26    | 0,7970     |
| ControlORinterventions[Control]*(Age-51,772)                     | -1,173276                         |  | 0,59839         | -1,96   | 0,0735     |
| ControlORinterventions[Intervention]*(Age-51,772)                | 1,1732758                         |  | 0,59839         | 1,96    | 0,0735     |
| ControlORinterventions[Control]*(BMI-28,636)                     | 1,4004531                         |  | 0,644469        | 2,17    | 0,0505     |
| ControlORinterventions[Intervention]*(BMI-28,636)                | -1,400453                         |  | 0,644469        | -2,17   | 0,0505     |
| ControlORinterventions[Control]*(Diastolic Baseline-80,296)      | 0,4733011                         |  | 0,733062        | 0,65    | 0,5307     |
| ControlORinterventions[Intervention]*(Diastolic Baseline-80,296) | -0,473301                         |  | 0,733062        | -0,65   | 0,5307     |



# WHY look for confounding in experimental studies?

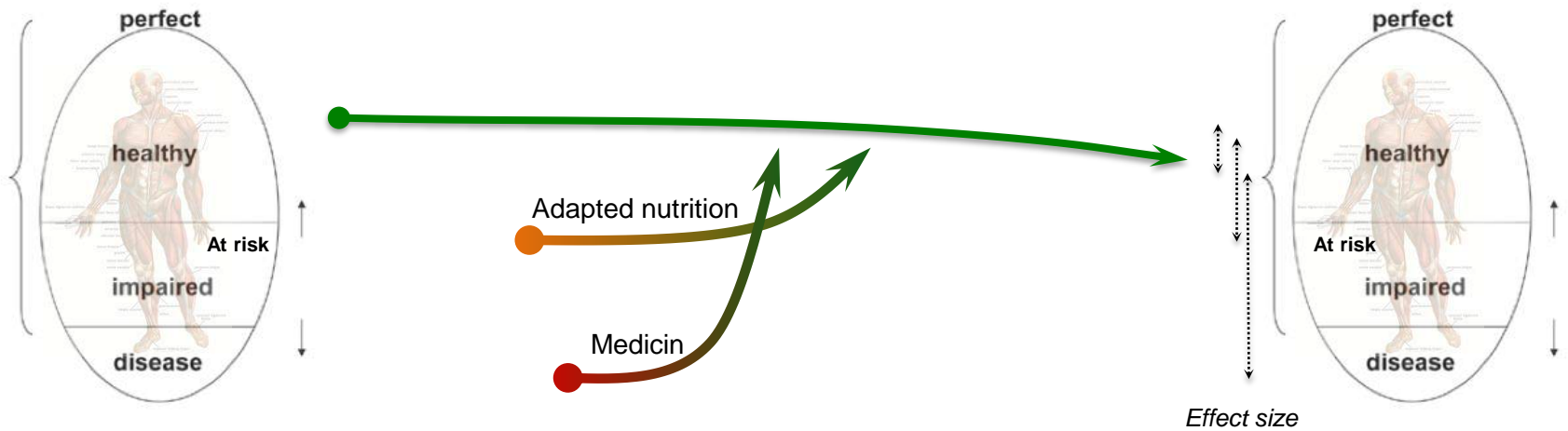
**THE MODEL OF SCIENCE THAT NUTRITION IS GENERALLY FORCED INTO DOES NOT COVER THE NEEDS FOR NUTRITION SCIENCES**

# Evidence base Pyramid

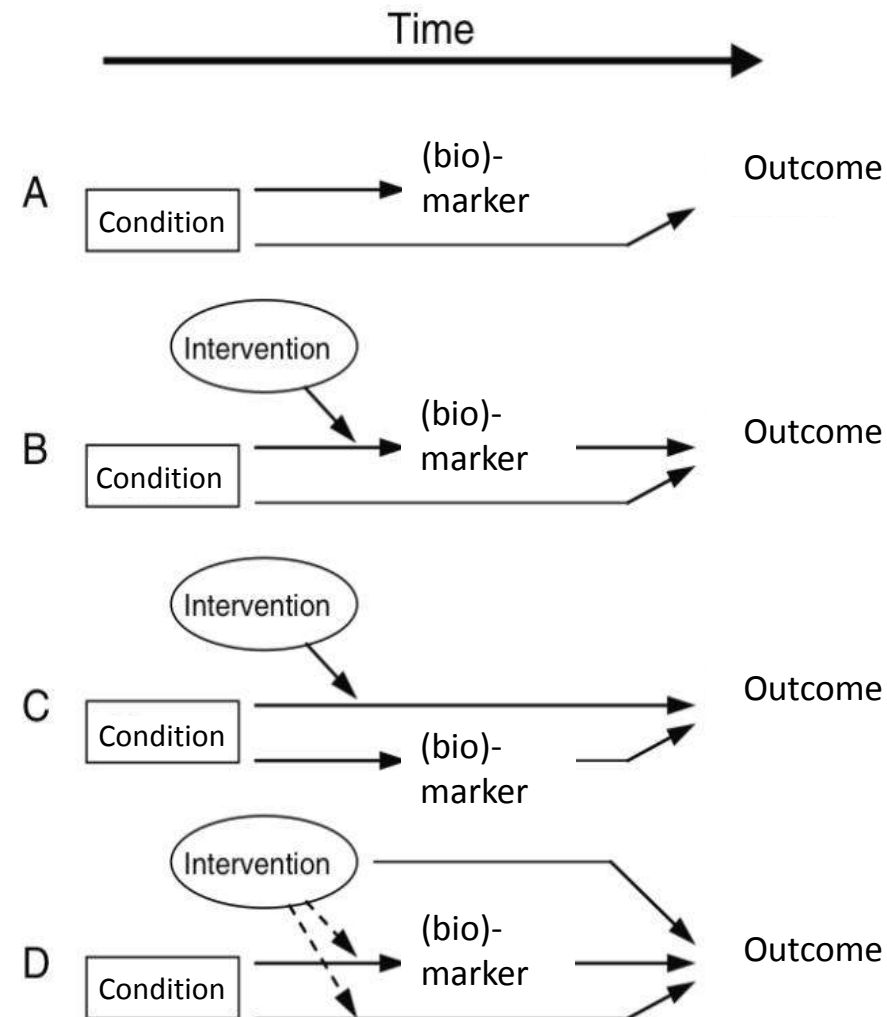


# Evidence based approach

L i f e t i m e →



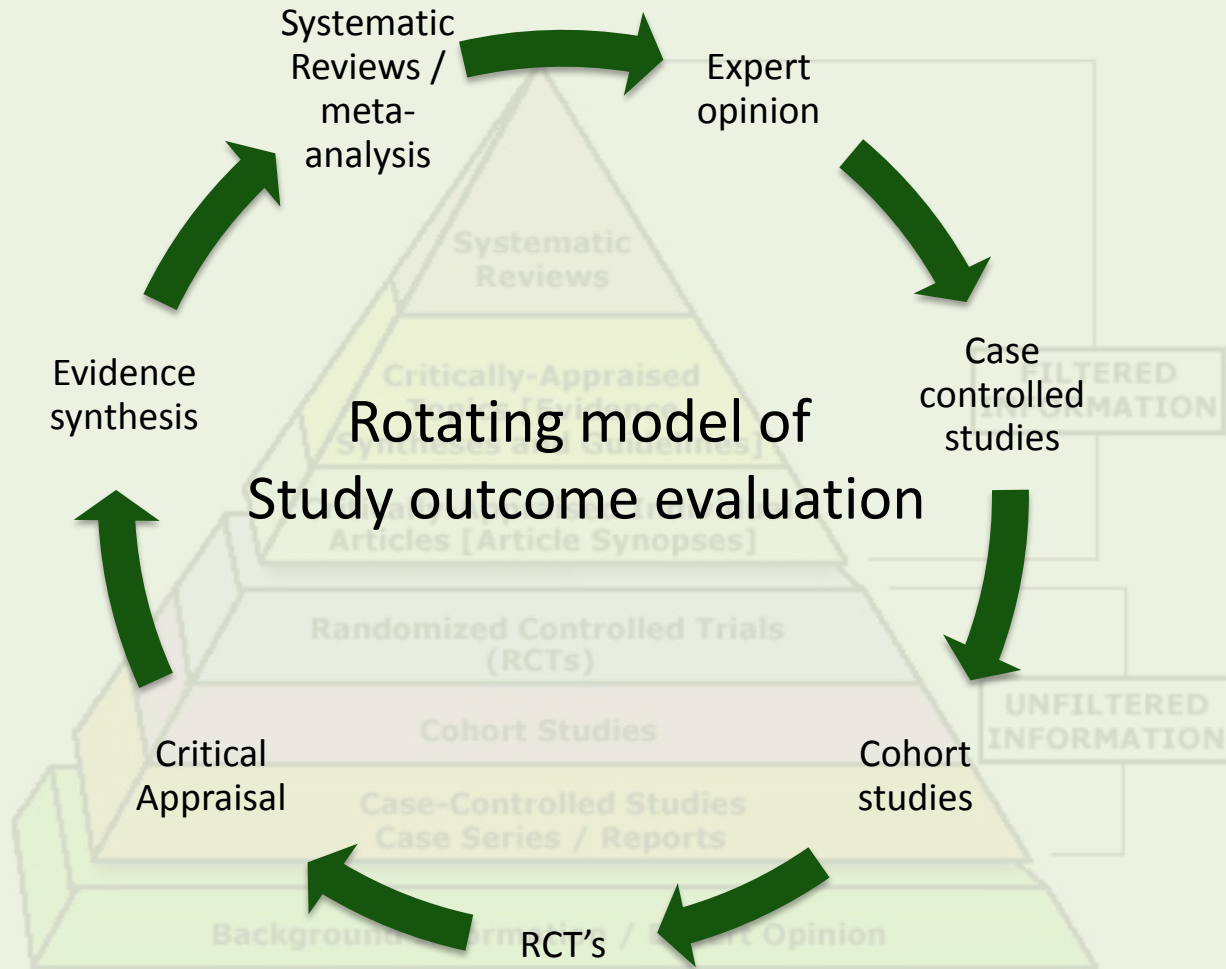
# Biomarkers and predictability of future outcome



- A. The surrogate is not in the causal pathway of the process.
- B. Of several causal pathways, the intervention affects only the pathway mediated through the surrogate.
- C. The surrogate is not on the pathway of the intervention's effect or is insensitive to its effect.
- D. The intervention has mechanisms of action independent of the process that results in the outcome. Dotted lines = mechanisms of action that might exist. (Adapted from Fleming, 1996).

(Adapted from Fleming, 1996).

# Evidence base Pyramid the right model for nutrition sciences?







# Discussion

## Epidemiology:

- Whole grain = whole grain

## Intervention studies:

- Whole grain  $\neq$  whole grain

## Question:

- Is it surprising that experimental studies can not consistently support epidemiological findings!?



# Questions

1. Usually outcomes from epidemiological studies are questioned with respect to cause-effect relationship.
  - However how valid are the outcomes of human intervention studies?
  - What is a cause-effect relationship?
2. Is cause-effect relationship essential in the communication of lifelong prevention? (*this is about deceit*)
3. Would there be THE ultimate intervention study to proof a cause-effect relationship of whole grain?
4. What is the level of evidence that is needed for preventive effects of nutrition in relation to lifelong healthiness?
5. May be more important: what do we consider as EVIDENCE?



# Closing Quote

“All scientific work is incomplete, whether it be observational or experimental.

All scientific work is liable to be upset by advancing knowledge.

That does not confer upon us a freedom to ignore the knowledge we already have, or to postpone the action that it appears to demand at a given time.”